

FIFA Anti-Doping

# REGULATIONS

2018 edition



**FIFA**<sup>®</sup>

## **Fédération Internationale de Football Association**

President: Gianni Infantino  
Secretary General: Fatma Samoura  
Address: FIFA-Strasse 20  
P.O. Box  
8044 Zurich  
Switzerland  
Telephone: +41 (0)43 222 7777  
Internet: FIFA.com



FIFA Anti-Doping

# REGULATIONS

2018 edition



## **1. Fédération Internationale de Football Association**

President: Gianni INFANTINO  
Secretary General: Fatma SAMOURA  
FIFA-Strasse 20  
CH-8044 Zurich, Switzerland  
Telephone: +41 (0)43 222 7777  
Internet: FIFA.com

## **2. Medical Committee**

Chairman: D'HOOGHE Michel (Belgium)  
Members: AHMED Hosny Abdelrahman (Egypt)  
CHIAMPAS George (USA)  
DOHI Michiko (Japan)  
FORSSBLAD Magnus (Sweden)  
FULCHER Mark (New Zealand)  
HERRERO Helena (Spain)  
KABUNGO Joseph (Zambia)  
MARTINEZ Gerinaldo (Panama)  
SINGH Gurcharan Dato' (Malaysia)  
VILLANI Donato (Argentina)

**3. Special adviser** SAUGY Martial (Switzerland)

## **4. FIFA therapeutic use exemptions (TUE) Advisory Group**

DOHI Michiko (Japan)  
SINGH Gurcharan Dato' (Malaysia)  
Additional experts according to requirements

<i>Article</i>	<i>Page</i>
<b>PREFACE/OBJECTIVE</b>	<b>9</b>
<b>PRELIMINARY TITLE</b>	
<b>I. Definitions and interpretation</b>	<b>10</b>
<b>II. General provisions</b>	<b>20</b>
1 Scope of application: substantive law and time	20
2 Obligations of Member Associations and Confederations	20
3 Special obligations of Players and teams	21
4 Test jurisdiction of FIFA	22
5 Definition of doping	23
<b>FIRST TITLE: SUBSTANTIVE LAW</b>	
<b>III. Anti-doping rule violations</b>	<b>24</b>
6 Presence of a Prohibited Substance or its Metabolites or Markers in a Player's Sample	24
7 Use or attempted Use by a Player of a Prohibited Substance or a Prohibited Method	25
8 Evading, refusing or failing to submit to Sample collection	25
9 Whereabouts failures	25
10 Tampering or attempted tampering with any part of Doping Control	25
11 Possession of a Prohibited Substance or a Prohibited Method	26
12 Trafficking or attempted Trafficking in any Prohibited Substance or Prohibited Method	26
13 Administration or attempted Administration of any Prohibited Substance or Prohibited Method	26
14 Complicity	27
15 Prohibited association	27
<b>IV. The prohibited list and therapeutic use exemptions</b>	<b>29</b>
16 Prohibited Substances and Prohibited Methods identified in the Prohibited List	29
17 WADA's determination of the Prohibited List	29
18 Therapeutic use exemptions (TUEs)	29

<i>Article</i>	<i>Page</i>
<b>V. Sanctions on individuals</b>	32
<b>Section 1: Imposition of period of Ineligibility</b>	32
19 Ineligibility for presence, Use or attempted Use, or Possession of a Prohibited Substance or Prohibited Method	32
20 Ineligibility for other anti-doping rule violations	33
<b>Section 2: Elimination, reduction or suspension of period of Ineligibility</b>	35
21 Elimination of the period of Ineligibility where there is No Fault or Negligence	35
22 Reduction of the period of Ineligibility based on No Significant Fault or Negligence	35
23 Elimination, reduction, or suspension of period of Ineligibility or other consequences for reasons other than Fault	36
<b>Section 3: Increasing the period of Ineligibility and multiple violations</b>	39
24 Multiple violations	39
<b>Section 4: Common provisions regarding sanctions on individuals</b>	41
25 Disqualification of results in Competitions subsequent to Sample collection or Commission of an anti-doping rule violation	41
26 Allocation of CAS cost awards and forfeited prize money	41
27 Financial consequences	41
28 Commencement of period of Ineligibility	42
29 Status during Ineligibility	43
30 Automatic publication of sanction	45
<b>VI. Consequences for teams</b>	46
31 Target Testing of the team	46
32 Sanction on the club or Association	46
<b>VII. Provisional Suspension</b>	47
33 Jurisdiction	47
34 Mandatory Provisional Suspension after an Adverse Analytical Finding	47
35 Optional Provisional Suspension based on an Adverse Analytical Finding for Specified Substances, Contaminated Products, or other anti-doping rule violations	48
36 Voluntary Provisional Suspension	48
37 Notification	48
38 "B" Sample proves negative	49

<i>Article</i>	<i>Page</i>
VIII. Statute of limitations	50
39 Statute of limitations	50
<b>SECOND TITLE: TESTING AND PROCEDURAL RULES</b>	
IX. Testing	51
<b>Section 1: Testing</b>	51
40 General rules for Testing	51
41 Test distribution plan	52
42 Selection of Players for Testing	53
43 Sample collection personnel: FIFA Doping Control Officers, assistants, Chaperones	54
44 Failure to comply with Doping Control	55
45 Whereabouts information	57
<b>Section 2: Analysis of Samples</b>	58
46 Use of accredited and approved laboratories	58
47 Standards for Sample analysis and reporting	58
48 Retesting Samples	59
49 Property	59
50 Guidance	59
<b>Section 3: Results management</b>	60
51 Management process	60
52 Initial review regarding Adverse Analytical/Atypical Findings and notification	60
53 Analysis of the "B" Sample in Adverse Analytical Findings	62
54 Review of Atypical Passport Findings and Adverse Passport Findings	63
55 Review of whereabouts failures	64
56 Review of other anti-doping rule violations	64
57 Retirement from sport	64
58 Retired Player returning to Competition	65
X. Procedural rules	66
<b>Section 1: General provisions</b>	66
59 Jurisdiction	66
60 Addressees of decisions and other documents	66
61 Form of decisions	67



<i>Article</i>	<i>Page</i>
<b>Section 2: Fair hearing</b>	68
62 Right to a fair hearing	68
63 Hearing principles	68
64 Considerations of the FIFA Disciplinary Committee	68
65 Procedure at a Competition	69
<b>Section 3: Proof of doping</b>	70
66 Burdens and standards of proof	70
67 Methods of establishing facts and presumptions	70
<b>Section 4: Confidentiality and reporting</b>	72
68 Information concerning potential anti-doping rule violations	72
69 Public disclosure	73
70 Information concerning whereabouts and Testing	74
71 Data protection	74
<b>Section 5: Recognition</b>	75
72 Application and recognition of decisions	75
73 Recognition by Associations and Confederations	75
<b>Section 6: Appeals</b>	76
74 Decisions subject to appeal	76
75 Appeals against decisions regarding anti-doping rule violations, consequences, Provisional Suspensions, recognition of decisions and jurisdiction	76
76 Failure to render a timely decision	79
77 Appeals relating to TUEs	79
78 Notification of appeal decisions	79
79 Appeal against decisions pursuant to article 83 (Sanctions and costs assessed against sporting bodies)	80
80 Time for filing appeals	80
81 FIFA not required to exhaust internal remedies	81
82 Appeals against decisions granting or denying a therapeutic use exemption	81
83 Sanctions and costs assessed against sporting bodies	82

<i>Article</i>	<i>Page</i>
<b>FINAL TITLE</b>	<b>83</b>
84 Official languages	83
85 Additional regulations	83
86 Amendment to and interpretation of the Anti-Doping Regulations	83
<b>ANNEXES</b>	
A. The Prohibited List	86
B. Therapeutic use exemption (TUE)	87
C. Whereabouts	90
D. Testing procedure	105
E. Forms	124
F. List of WADA-accredited laboratories	126

International federations such as FIFA and the IOC have played a pioneering role in the fight against doping in sport. FIFA introduced regular doping controls in 1970 to ensure that the results of the Matches in its International Competitions are a fair reflection of the strength of the contenders.

The fundamental aims of doping control are threefold:

- a) to uphold and preserve the ethics of sport;
- b) to safeguard the physical health and mental integrity of players;
- c) to ensure that all competitors have an equal chance.

FIFA and its Medical Committee acknowledge their responsibility in the fight against doping through stringent anti-doping provisions, ongoing data collection and support for the research promoted by experts.

The FIFA Medical Committee has overall responsibility for implementing Doping Control at all FIFA Competitions and Out-of-Competition as well as for approving applications for therapeutic use exemptions (TUEs). It delegates the management and administration of doping tests to the FIFA Anti-Doping Unit, which coordinates the FIFA Doping Control Officers. It delegates the evaluation and the approval of TUEs to the FIFA TUE Advisory Group. FIFA follows a strategy of basing any decisions and regulations on the specifics of the game, scientific evidence and analysis of validated doping statistics.

FIFA has accepted the World Anti-Doping Code 2015 and implemented the applicable provisions of this code in these Regulations. Thus, in case of questions, the comments annotating various provisions of the World Anti-Doping Code 2015 and the International Standards shall be used to construe these Regulations where applicable.

**PRELIMINARY TITLE**

1. **ADAMS:** the Anti-Doping Administration and Management System is a web-based database management tool for data entry, storage, sharing, and reporting designed to assist stakeholders and WADA in their anti-doping operations in conjunction with data protection legislation.
2. **Administration:** providing, supplying, supervising, facilitating, or otherwise participating in the Use or attempted Use by another Person of a Prohibited Substance or Prohibited Method. However, this definition shall not include the actions of bona fide medical personnel involving a Prohibited Substance or Prohibited Method used for genuine and legal therapeutic purposes or other acceptable justification and shall not include actions involving Prohibited Substances which are not prohibited in Out-of-Competition Testing unless the circumstances as a whole demonstrate that such Prohibited Substances are not intended for genuine and legal therapeutic purposes or are intended to enhance sport performance.
3. **Adverse Analytical Finding:** a report from a WADA-accredited laboratory or other WADA-approved laboratory that, consistent with the International Standard for Laboratories and related technical documents, identifies in a Sample the presence of a Prohibited Substance or its Metabolites or Markers (including elevated quantities of endogenous substances) or evidence of the Use of a Prohibited Method.
4. **Adverse Passport Finding:** a report identified as an Adverse Passport Finding as described in the applicable International Standards.
5. **Anti-Doping Organisation:** a Signatory that is responsible for adopting rules for initiating, implementing or enforcing any part of the Doping Control process. This includes, for example, the International Olympic Committee, the International Paralympic Committee, other Major Event Organisations that conduct Testing at their events, WADA, international federations and National Anti-Doping Organisations.
6. **Association:** a football Association recognised by FIFA. It is a member of FIFA unless a different meaning is evident from the context.
7. **Attempt:** purposely engaging in conduct that constitutes a substantial step in a course of conduct planned to culminate in the commission of an anti-doping rule violation. However, there shall be no anti-doping rule

violation based solely on an Attempt to commit a violation if the Person renounces the Attempt prior to it being discovered by a third party not involved in the Attempt.

8. **Atypical Finding:** a report from a WADA-accredited laboratory or other WADA-approved laboratory which requires further investigation as provided by the International Standard for Laboratories or related technical documents prior to the determination of an Adverse Analytical Finding.
9. **Atypical Passport Finding:** a report described as an Atypical Passport Finding as described in the applicable International Standards.
10. **CAS:** the Court of Arbitration for Sport, Lausanne, Switzerland.
11. **Chain of Custody:** the sequence of individuals or organisations who have the responsibility for a Sample from the provision of the Sample until the Sample has been received for analysis.
12. **Chaperone:** an official who is trained and authorised by FIFA to carry out specific duties including one or more of the following: accompanying and observing the Player selected for Sample collection until arrival at the doping control room; and/or witnessing and verifying the provision of the Sample where the training qualifies him to do so.
13. **Code:** the World Anti-Doping Code.
14. **Competition:** a series of football Matches conducted together under one competent body (e.g. the Olympic Games, FIFA World Cup™). “Competition” in the official FIFA terminology corresponds to “event” in the World Anti-Doping Code.
15. **Competition Period:** the time between the beginning and end of a Competition, as established by the competent body of the Competition.
16. **Confederation:** a group of Associations recognised by FIFA that belong to the same continent (or assimilable geographic region).
17. **Contaminated Product:** a product that contains a Prohibited Substance that is not disclosed on the product label or in information available in a reasonable internet search.

18. **Doping Control:** all steps and processes from test distribution planning through to ultimate disposition of any appeal including all steps and processes in between such as provision of whereabouts information, Sample collection and handling, laboratory analysis, TUEs, results management and hearings.
19. **Fault:** Fault is any breach of duty or any lack of care appropriate to a particular situation. Factors to be taken into consideration in assessing a Player or other Person's degree of Fault include, for example, the Player's or other Person's experience, whether the Player or other Person is a Minor, special considerations such as impairment, the degree of risk that should have been perceived by the Player and the level of care and investigation exercised by the Player in relation to what should have been the perceived level of risk. In assessing the Player's or other Person's degree of Fault, the circumstances considered must be specific and relevant to explain the Player's or other Person's departure from the expected standard of behaviour. Thus, for example, the fact that a Player would lose the opportunity to earn large sums of money during a period of Ineligibility, or the fact that the Player only has a short time left in his career, or the timing of the sporting calendar, would not be relevant factors to be considered in reducing the period of Ineligibility under art. 22 par. 1 or 2 (Reduction of the period of Ineligibility based on No Significant Fault or Negligence).
20. **FIFA Anti-Doping Unit:** the functional body to which the FIFA Medical Committee delegates the management and administration of Doping Control.
21. **FIFA Disciplinary Committee:** a FIFA judicial body, embodied in the FIFA Statutes, that is authorised to sanction any breach of FIFA Regulations which does not come under the jurisdiction of another body.
22. **FIFA Doping Control Officer:** a Person who carries out Sample collections for FIFA. The FIFA Doping Control Officer must be a doctor. If national legislation allows professionals other than doctors to collect Samples of bodily fluids (with all consequences including medical confidentiality according to medical ethics and the Hippocratic Oath), an exception may be made by the FIFA Anti-Doping Unit.
23. **FIFA Regulations:** the Statutes, regulations, guidelines, directives and circulars of FIFA and the Beach Soccer and Futsal Laws of the Game issued by FIFA as well as the Laws of the Game issued by The International Football Association Board.

24. **Financial Consequences:** a financial sanction imposed for an anti-doping rule violation or to recover costs associated with an anti-doping rule violation.
25. **In-Competition:** commences 24 hours before the kick-off of a single Match or the first Match of a Competition and terminates 24 hours after completion of the Sample collection that takes place after the final whistle of a single Match or the final Match of such Competition.
26. **Ineligibility:** suspension of a Player or a Person for a specified period of time from participating in any Competition or other activity or from receiving sport-related financial support as provided in these Regulations.
27. **International Competition:** a Competition where the International Olympic Committee, the International Paralympic Committee, an international federation, a Major Event Organisation, or another international sports organisation is the ruling body for the Competition or appoints the technical officials for the Competition (“International Competition” in the official FIFA terminology corresponds to “international event” in the World Anti-Doping Code).
28. **International-Level Player:** a Player designated by FIFA or a Confederation as being within FIFA’s or the Confederation’s Registered Testing Pool and/ or a Player who participates in International Competitions (as defined in these Regulations) and/or Competitions under the jurisdiction of a Confederation.
29. **International Standard:** a standard adopted by WADA in support of the Code. Compliance with an International Standard (as opposed to another alternative standard, practice or procedure) shall be sufficient to conclude that the procedures addressed by the International Standard were performed properly. International Standards shall include any technical documents issued pursuant to the International Standard.
30. **Major Event Organisations:** the continental associations of National Olympic Committees and other international multi-sport organisations that function as the ruling body for any continental, regional or other International Competition.
31. **Marker:** a compound, group of compounds or biological variable(s) that indicates the Use of a Prohibited Substance or Prohibited Method.

32. **Match:** a single football Match. "Match" in the official FIFA terminology corresponds to "Competition" in the World Anti-Doping Code.
33. **Match Officials:** the referee, assistant referees, fourth official, Match Commissioner, referee inspector, the Person in charge of safety, and any other Persons appointed by FIFA to assume responsibility in connection with a Match.
34. **Medical Committee:** the FIFA standing committee, embodied in the FIFA Statutes, that deals with all medical aspects of football, including any doping-related matters.
35. **Member Association:** an Association that has been admitted into membership of FIFA by the FIFA Congress.
36. **Metabolite:** any substance produced by a biotransformation process.
37. **Minor:** a natural Person who has not reached the age of 18 years.
38. **National Anti-Doping Organisation (NADO):** the entity(ies) designated by each country as possessing the primary authority and responsibility to adopt and implement anti-doping rules, direct the collection of Samples, the management of test results, and the conduct of hearings at national level. If this designation has not been made by the competent public authority(ies), the entity shall be the country's National Olympic Committee or its designee, such as the Association.
39. **National Competition:** a sports Competition that may involve National- or International-Level Player and that is not an International Competition.
40. **National-Level Player:** a player who competes at national level, as defined by each National Anti-Doping Organisation, consistent with the International Standard for Testing and Investigations.
41. **National Olympic Committee:** the organisation recognised by the International Olympic Committee. The term National Olympic Committee shall also include the national sport confederation in those countries where the national sport confederation assumes typical National Olympic Committee responsibilities in the anti-doping area.
42. **No Fault or Negligence:** the Player or other Person's establishing that he did not know or suspect, and could not reasonably have known or



suspected even with the exercise of utmost caution, that he had Used or been administered the Prohibited Substance or Prohibited Method or otherwise violated an anti-doping rule. Except in the case of a Minor, for any violation of art. 6 (Presence of a Prohibited Substance or its Metabolites or Markers in a Player's Sample), the Player must also establish how the Prohibited Substance entered his system.

43. **No Significant Fault or Negligence:** the Player or other Person's establishing that his Fault or negligence, when viewed in the totality of the circumstances and taking into account the criteria for No Fault or negligence, was not significant in relationship to the anti-doping rule violation. Except in the case of a Minor, for any violation of art. 6 (Presence of a Prohibited Substance or its Metabolites or Markers in a Player's Sample), the Player must also establish how the Prohibited Substance entered his system.
44. **Official:** every board member, committee member, referee and assistant referee, coach, trainer and any other Person responsible for technical, medical and administrative matters in FIFA, a Confederation, Association, League or club as well as all other Persons obliged to comply with the FIFA Statutes (except players).
45. **Out-of-Competition:** any period which is not In-Competition.
46. **Participant:** any Player or Player Support Personnel.
47. **Person:** a natural Person or an organisation or other entity.
48. **Player:** any football Player licensed by an Association.
49. **Player Biological Passport:** the programme and methods of gathering and collating data as described in the International Standard for Testing and Investigations and International Standard for Laboratories.
50. **Player Support Personnel:** any coach, trainer, manager, agent, team staff, Official, medical or paramedical personnel, parent or any other Person working with, treating or assisting a Player participating in or preparing for sports competition.
51. **Possession:** the actual, physical possession, or the constructive possession (which shall be found only if the Person has exclusive control or intends to exercise control over the Prohibited Substance or Prohibited Method or

the premises in which a Prohibited Substance or Prohibited Method exists); provided, however, that if the Person does not have exclusive control over the Prohibited Substance or Prohibited Method or the premises in which a Prohibited Substance or Prohibited Method exists, constructive Possession shall only be found if the Person knew about the presence of the Prohibited Substance or Prohibited Method and intended to exercise control over it. However, there shall be no anti-doping rule violation based solely on Possession if, prior to receiving notification of any kind that the Person has committed an anti-doping rule violation, the Person has taken concrete action demonstrating that the Person never intended to have Possession and has renounced Possession by explicitly declaring it to an Anti-Doping Organisation. Notwithstanding anything to the contrary in this definition, the purchase (including by any electronic or other means) of a Prohibited Substance or Prohibited Method constitutes Possession by the Person who makes the purchase.

52. **Prohibited List:** the List published by WADA identifying the Prohibited Substances and Prohibited Methods.
53. **Prohibited Method:** any method so described in the Prohibited List.
54. **Prohibited Substance:** any substance, or class of substances, so described in the Prohibited List.
55. **Provisional Hearing:** an expedited abbreviated hearing occurring prior to a hearing under the provisions set forth in these Regulations that provides the Player with notice and an opportunity to be heard in either written or oral form.
56. **Provisional Suspension:** a Player or other Person is suspended temporarily from participating in any Competition prior to the final decision at a hearing conducted under the provisions set forth in these Regulations and in the FIFA Disciplinary Code.
57. **Publicly Disclose or Publicly Report:** to disseminate or distribute information to the general public or Persons beyond those Persons entitled to earlier notification in accordance with these Regulations.
58. **Regional Anti-Doping Organisation:** a regional entity designated by member countries to coordinate and manage delegated areas of their national anti-doping programmes, which may include the adoption and implementation of anti-doping rules, the planning and collection of

Samples, the management of results, the review of TUEs, the conduct of hearings and the conduct of educational programmes at a regional level.

59. **Registered Testing Pool:** a pool of high-priority Players established separately by FIFA, the Associations or the NADOs that is subject to focused In-Competition and Out-of-Competition Testing as part of FIFA's, the Association's or the NADO's test distribution plan.
60. **Sample or Specimen:** any biological material collected for the purposes of Doping Control.
61. **Signatories:** those entities signing the Code and agreeing to comply with the Code, as provided in art. 23 of the WADA Code 2015.
62. **Specified Substance:** see art. 16 par. 2 (Prohibited Substances and Prohibited Methods identified in the Prohibited List).
63. **Strict Liability:** the rule which provides that under art. 6 (Presence of a Prohibited Substance or its Metabolites or Markers in a Player's Sample) and art. 7 (Use or attempted Use by a Player of a Prohibited Substance or a Prohibited Method), it is not necessary that intent, Fault, negligence, or knowing Use on the Player's part be demonstrated by the Anti-Doping Organisation in order to establish an anti-doping rule violation.
64. **Substantial Assistance:** for the purpose of these Regulations, a Person providing Substantial Assistance must: (1) fully disclose in a signed written statement all information he possesses in relation to anti-doping rule violations, and (2) fully cooperate with the investigation and adjudication of any case related to that information, including, for example, presenting testimony at a hearing if requested to do so by an Anti-Doping Organisation or hearing panel. Further, the information provided must be credible and must comprise an important part of any case which is initiated or, if no case is initiated, must have provided a sufficient basis on which a case could have been brought.
65. **Suitable Specific Gravity for Analysis:** specific gravity measured at 1.005 or higher with a refractometer, or 1.010 or higher with lab sticks.
66. **Tampering:** altering for an improper purpose or in an improper way; bringing improper influence to bear; interfering improperly; obstructing, misleading or engaging in any fraudulent conduct to alter results or prevent normal procedures from occurring.

67. **Target Testing:** selection of specific Players for Testing based on criteria set forth in the International Standard for Testing and Investigations.
68. **Team Activity:** all sporting activities (e.g. training, travelling, tactical sessions) on a collective basis with the Player's team or other activities under the supervision of the team (e.g. treatment by a team doctor).
69. **Testing:** the parts of the Doping Control process involving test distribution planning, Sample collection, Sample handling, and Sample transport to the laboratory.
70. **Trafficking:** selling, giving, transporting, sending, delivering or distributing (or Possessing for any such purpose) a Prohibited Substance or Prohibited Method (either physically or by any electronic or other means) by a Player, Player Support Personnel or any other Person subject to the jurisdiction of an Anti-Doping Organisation to any third party; provided, however, this definition shall not include the actions of "bona fide" medical personnel involving a Prohibited Substance used for genuine and legal therapeutic purposes or other acceptable justification, and shall not include actions involving Prohibited Substances which are not prohibited in Out-of-Competition Testing unless the circumstances as a whole demonstrate such Prohibited Substances are not intended for genuine and legal therapeutic purposes or are intended to enhance sport performance.
71. **TUE:** therapeutic use exemption, as described in art. 18 (Therapeutic use exemptions (TUEs)).
72. **UNESCO Convention:** the International Convention against Doping in Sport adopted by the 33<sup>rd</sup> session of the UNESCO General Conference on 19 October, 2005, including any and all amendments adopted by the States Parties to the Convention and the Conference of Parties to the International Convention against Doping in Sport.
73. **Use:** the utilisation, application, ingestion, injection or consumption by any means whatsoever of any Prohibited Substance or Prohibited Method.
74. **WADA:** the World Anti-Doping Agency.

Reference to the competent FIFA bodies in these Regulations applies to the equivalent body at Association or Confederation level.

Words importing the singular include the plural and vice versa.

References to "include" or "in particular", "e.g." or similar are to be construed as being inclusive and without limitation to the listed examples.

References to "days" mean actual days, not business days.

References to "chapters", "sections", "articles" and/or "paragraphs" are, unless expressly stated otherwise, references to the chapters, sections, articles or paragraphs of these Regulations.

References to the male gender in these Regulations are for simplification and apply to both men and women.

All annexes attached to these Regulations form an integral part of these Regulations.

The various headings and sub-headings used in these Regulations are for convenience only and shall not be deemed part of the substance of these Regulations or to affect in any way the language of the provisions to which they refer.

All capitalised terms shall have the meanings as defined in this Chapter I.

# 1 Scope of application: substantive law and time

## 1.

These Regulations shall apply to FIFA, its Member Associations and the Confederations and to Players, clubs, Player Support Personnel, Match Officials, Officials and other Persons who participate in activities, Matches or Competitions organised by FIFA or its Associations by virtue of their agreement, membership, affiliation, authorisation, accreditation or participation.

## 2.

These Regulations shall apply to all Doping Controls over which FIFA and, respectively, its Associations have jurisdiction.

## 3.

These Regulations apply to facts that arise after these Regulations have come into force. These Regulations also apply to previous facts if these Regulations are equally favourable or more favourable for the perpetrator of the facts and if the judicial bodies of FIFA are deciding on these facts after the Regulations have come into force. By contrast, rules governing procedure apply immediately upon the coming into force of these Regulations. The provisions of art. 86 (Amendment to and interpretation of Anti-Doping Regulations) shall prevail in case of conflict.

# 2 Obligations of Member Associations and Confederations

## 1.

All Associations shall undertake to comply with these Regulations. These Regulations shall be incorporated either directly, or by reference, into the rules of each Association. Each Association shall include in its rules the procedural regulations necessary to implement these Regulations and any changes that may be made to them. In the event of a discrepancy between these Regulations and the rules of a Member Association or Confederation, these Regulations shall prevail and apply to the case at hand.

## 2.

All Confederations shall, by signing the "Doping Control Declaration of Agreement", undertake to comply with these Regulations. In respect of the Confederations' remit, reference in these Regulations to the Associations shall, where appropriate, be understood as meaning the Confederations.

**3.**

The rules of each Association shall specifically provide that all Players, clubs, Player Support Personnel, Officials and other Persons under the jurisdiction of the Association shall be bound by these Regulations.

**4.**

It is the responsibility of each Association to collect Samples for Doping Control at National Competitions and to initiate and direct Out-of-Competition Testing on its Players, as well as to ensure that all national-level Testing on its Players and the results management from such tests comply with these Regulations. In respect of this schedule of responsibilities, reference in these Regulations to FIFA shall, where appropriate, be understood as meaning the Association concerned.

**5.**

It is recognised that in some countries the Association will conduct the Testing and results management process itself whilst, in others, some or all of the Association's responsibilities may be delegated or assigned to a National Anti-Doping Organisation (NADO). In respect of these countries, reference in these Regulations to the Association shall, where appropriate, be understood as meaning the NADO. The Confederation and/or National Association shall provide FIFA with any information relating to an anti-doping rule violation and decisions taken by the NADO duly translated into an official FIFA language.

## **3**

### **Special obligations of Players and teams**

**1.**

Players, other individuals, organisations and entities shall be responsible for knowing what constitutes an anti-doping rule violation and the substances and methods that have been included in the Prohibited List.

**2.**

Players are obliged to undergo Testing as set forth in these Regulations. In particular, every Player designated to undergo a doping test by a responsible Official, whether as a result of Target Testing or drawing by lots, is obliged to provide a urine Sample and, if requested, a blood Sample, to undergo any medical examination that the responsible Official deems necessary and to cooperate with the latter in this respect.

**3.**

The Player's rights include the right to:

- a) have the team doctor or other representative present;
- b) be informed and ask for additional information about the Sample collection process.

**4.**

The Player's obligations include the requirement to:

- a) remain within direct observation of the FIFA Doping Control Officer, his assistant or the Chaperone at all times from the point of notification until completion of the Sample collection;
- b) comply with Sample collection procedures (the Player shall be advised of the possible consequences of failure to comply);
- c) report immediately for a test, unless there are valid reasons for a delay, as determined in accordance with Annexe D.

**5.**

Every Player/team that has been identified for inclusion in a national or international Registered Testing Pool is obliged to provide whereabouts information as set forth in Annexe C. Players may delegate the whereabouts provision to a designated team representative. Regardless of such delegation, Players remain personally responsible for duly filling complete and accurate whereabouts information.

## **4** Test jurisdiction of FIFA

**1.**

FIFA has test jurisdiction over all clubs and their Players who are affiliated to a Member Association or who participate in any Match or Competition organised by FIFA.

**2.**

FIFA shall focus its Testing under these Regulations on Players in the FIFA International Registered Testing Pool (IRTP) and on Players who compete, or who are preparing to compete, in Matches or Competitions organised by FIFA.



## **5** Definition of doping

**1.**

Doping is strictly forbidden under these Regulations.

**2.**

Doping is defined as the occurrence of one or more of the anti-doping rule violations set forth in these Regulations.

**3.**

Players and other Persons shall be responsible for knowing what constitutes an anti-doping rule violation and the substances and methods which have been included in the Prohibited List.

**FIRST TITLE: SUBSTANTIVE LAW**

The purpose of arts 6 to 15 is to specify the circumstances and conduct which constitute anti-doping rule violations. Hearings in doping cases will proceed based on the assertion that one or more of these specific rules have been violated.

The following constitute anti-doping rule violations:

## **6 Presence of a Prohibited Substance or its Metabolites or Markers in a Player's Sample**

### **1.**

It is each Player's personal duty to ensure that no Prohibited Substance enters his body. Players are responsible for any Prohibited Substance or its Metabolites or Markers found to be present in their Samples. Accordingly, it is not necessary that intent, Fault, negligence or knowing Use on the Player's part be demonstrated in order to establish an anti-doping rule violation under art. 6.

### **2.**

Sufficient proof of an anti-doping rule violation under art. 6 is established by any of the following: presence of a Prohibited Substance or its Metabolites or Markers in the Player's "A" Sample where the Player waives analysis of the "B" Sample and the "B" Sample is not analysed; or where the Player's "B" Sample is analysed and the analysis of the Player's "B" Sample confirms the presence of the Prohibited Substance or its Metabolites or Markers found in the Player's "A" Sample; or where the Player's "B" Sample is split into two bottles and the analysis of the second bottle confirms the presence of the Prohibited Substance or its Metabolites or Markers found in the first bottle.

### **3.**

Excepting those substances for which a quantitative threshold is specifically identified in the Prohibited List, the presence of any quantity of a Prohibited Substance or its Metabolites or Markers in a Player's Sample shall constitute an anti-doping rule violation.

### **4.**

As an exception to the general rule of art. 6, the Prohibited List or International Standards may establish special criteria for the evaluation of Prohibited Substances that can also be produced endogenously.

## **7** Use or attempted Use by a Player of a Prohibited Substance or a Prohibited Method

### **1.**

It is each Player's personal duty to ensure that no Prohibited Substance enters his body and that no Prohibited Method is used. Accordingly, it is not necessary that intent, Fault, negligence or knowing Use on the Player's part be demonstrated in order to establish an anti-doping rule violation for Use of a Prohibited Substance or a Prohibited Method.

### **2.**

The success or failure of the Use or attempted Use of a Prohibited Substance or Prohibited Method is not material. It is sufficient that the Prohibited Substance or Prohibited Method was Used or attempted to be Used for an anti-doping rule violation to be committed.

## **8** Evading, refusing or failing to submit to Sample collection

Evading Sample collection, or without compelling justification, refusing or failing to submit to Sample collection after notification as authorised in these Regulations or other applicable anti-doping rules.

## **9** Whereabouts failures

Any combination of three missed tests and/or filing failures, as defined in the International Standard for Testing and Investigations, within a 12-month period by a Player in a Registered Testing Pool.

## **10** Tampering or attempted tampering with any part of Doping Control

Conduct which subverts the Doping Control process but which would not otherwise be included in the definition of Prohibited Methods. Tampering shall include, without limitation, intentionally interfering or attempting to interfere with a Doping Control official, providing fraudulent information to an Anti-Doping Organisation or intimidating or attempting to intimidate a potential witness.

## **11 Possession of a Prohibited Substance or a Prohibited Method**

### **1.**

Possession by a Player In-Competition of any Prohibited Substance or any Prohibited Method, or Possession by a Player Out-of-Competition of any Prohibited Substance or any Prohibited Method which is prohibited Out-of-Competition unless the Player establishes that the Possession is consistent with a therapeutic use exemption (TUE) granted in accordance with art. 18 (Therapeutic use exemptions (TUEs)) or other acceptable justification.

### **2.**

Possession by Player Support Personnel In-Competition of any Prohibited Substance or any Prohibited Method, or Possession by Player Support Personnel Out-of-Competition of any Prohibited Substance or any Prohibited Method which is prohibited Out-of-Competition in connection with a Player, Competition or training, unless the Player Support Personnel establishes that the Possession is consistent with a TUE granted to a Player in accordance with art. 18 (Therapeutic use exemptions (TUEs)) or other acceptable justification.

## **12 Trafficking or attempted Trafficking in any Prohibited Substance or Prohibited Method**

Trafficking or attempted Trafficking in any Prohibited Substance or Prohibited Method.

## **13 Administration or attempted Administration of any Prohibited Substance or Prohibited Method**

Administration or attempted Administration to any Player In-Competition of any Prohibited Substance or Prohibited Method, or Administration or attempted Administration to any Player Out-of-Competition of any Prohibited Substance or any Prohibited Method that is prohibited Out-of-Competition.

## 14 Complicity

Assisting, encouraging, aiding, abetting, conspiring, covering up or any other type of intentional complicity involving an anti-doping rule violation, attempted anti-doping rule violation or violation of art. 29 par. 1 (Prohibition of participation during Ineligibility) by another Person.

## 15 Prohibited association

Association by a Player or other Person subject to the authority of FIFA in a professional or sport-related capacity with any Player Support Personnel who:

1. If subject to the authority of an Anti-Doping Organisation, is serving a period of Ineligibility; or
2. If not subject to the authority of an Anti-Doping Organisation and where Ineligibility has not been addressed in a results management process pursuant to the Code, has been convicted or found in a criminal, disciplinary or professional proceeding to have engaged in conduct which would have constituted a violation of anti-doping rules if Code-compliant rules had been applicable to such Person. The disqualifying status of such Person shall be in force for the longer of six years from the criminal, professional or disciplinary decision or the duration of the criminal, disciplinary or professional sanction imposed; or
3. Is serving as a front or intermediary for an individual described in art. 15 par. 1 or par. 2 (Prohibited association).

In order for art. 15 to apply, it is necessary that the Player or other Person has previously been advised in writing by FIFA or another Anti-Doping Organisation with jurisdiction over the Player or other Person, or by WADA, of the Player Support Personnel's disqualifying status and the potential consequence of prohibited association and that the Player or other Person can reasonably avoid the association. The Anti-Doping Organisation shall also use reasonable efforts to advise the Player Support Personnel who is the subject of the notice to the Player or other Person that the Player Support Personnel may, within 15 days,

come forward to the Anti-Doping Organisation to explain that the criteria described in art. 15 par. 1 and par. 2 do not apply to him. (Notwithstanding art. 39 (Statute of limitations), this article applies even when the Player Support Personnel's disqualifying conduct occurred prior to the effective date provided in art. 86 par. 6 (Amendment to and interpretation of Anti-Doping Regulations).

The burden shall be on the Player or other Person to establish that any association with Player Support Personnel described in art. 15 par. 1 or par. 2 is not in a professional or sport-related capacity.

If FIFA is aware of any Player Support Personnel who meet the criteria described in art. 15 paras 1, 2 or 3, FIFA shall submit that information to WADA.

## **16** Prohibited Substances and Prohibited Methods identified in the Prohibited List

### **1.**

#### **Prohibited Substances and Prohibited Methods**

Unless otherwise communicated by FIFA, the Prohibited List and its revisions shall come into effect under these Regulations three months after publication of the Prohibited List by WADA without requiring any further action by FIFA or its Member Associations. All Players and other Persons shall be bound by the Prohibited List, and any revisions thereto, from the date they go into effect, without further formality. It is the responsibility of all Players and other Persons to familiarise themselves with the most up-to-date version of the Prohibited List and all revisions thereto.

### **2.**

#### **Specified Substances**

For the purpose of the application of arts 19 to 30 (Sanctions on individuals), all Prohibited Substances shall be Specified Substances except substances in the classes of anabolic agents and hormones and those stimulants and hormone antagonists and modulators so identified in the Prohibited List. The category of Specified Substances shall not include Prohibited Methods.

## **17** WADA's determination of the Prohibited List

WADA's determination of the Prohibited Substances and Prohibited Methods that will be included in the Prohibited List, the classification of substances into categories in the Prohibited List and the classification of a substance as prohibited at all times or In-Competition only, is final and shall not be subject to challenge by a Player or other Person based on an argument that the substance or method was not a masking agent or did not have the potential to enhance performance, represent a health risk or violate the spirit of sport.

## **18** Therapeutic use exemptions (TUEs)

### **1.**

The presence of a Prohibited Substance or its Metabolites or Markers, and/or the Use or attempted Use, Possession or Administration or attempted Administration of a Prohibited Substance or Prohibited Method, shall not be considered an anti-

doping rule violation if it is consistent with the provisions of a TUE granted in accordance with the International Standard for Therapeutic Use Exemptions.

**2.**

Any Player who consults a doctor and is prescribed treatment or medication for therapeutic reasons shall enquire whether the prescription contains Prohibited Substances and/or Prohibited Methods. If so, the Player shall request alternative treatment.

**3.**

If there is no alternative treatment, the Player with a documented medical condition requiring the use of a Prohibited Substance and/or a Prohibited Method must first obtain a TUE. However, TUEs will only be granted in cases of clear and compelling clinical need where no competitive advantage can be gained by the Player.

**4.**

The application for and approval of a TUE strictly follow the procedure laid out in the WADA International Standard for Therapeutic Use Exemption and in the FIFA TUE Policy in force.

**5.**

Players who have been included in the FIFA International Registered Testing Pool may only obtain TUEs in accordance with the rules stipulated by FIFA. FIFA publishes a list of those International Competitions for which a TUE from FIFA is required. Details of the application procedure shall be found in Annexe B. TUEs granted by FIFA under these rules shall be reported to the Player's Association and to WADA.

**6.**

Players who have been identified or included in a national Registered Testing Pool must obtain a TUE from their NADO, or from such other body as may be designated by their Association to grant TUEs, or that otherwise has competent authority to grant TUEs in the territory of the Association concerned. Associations shall in all cases be responsible for promptly reporting the granting of any TUEs under these rules to FIFA and WADA.



**7.**

## Expiration, cancellation, withdrawal or reversal of a TUE

- a) A TUE granted pursuant to these Regulations: (a) shall expire automatically at the end of any term for which it was granted, without the need for any further notice or other formality; (b) may be cancelled if the Player does not promptly comply with any requirements or conditions imposed by the FIFA TUE Advisory Group upon grant of the TUE; (c) may be withdrawn by the FIFA TUE Advisory Group if it is subsequently determined that the criteria for grant of a TUE are not in fact met; or (d) may be reversed on review by WADA or on appeal.
  
- b) In such event, the Player shall not be subject to any consequences based on his/her Use or Possession or Administration of the Prohibited Substance or Prohibited Method in question in accordance with the TUE prior to the effective date of expiry, cancellation, withdrawal or reversal of the TUE. The review pursuant to these Regulations of any subsequent Adverse Analytical Finding shall include consideration of whether such finding is consistent with Use of the Prohibited Substance or Prohibited Method prior to that date, in which event no anti-doping rule violation shall be asserted.

## Section 1: Imposition of a period of Ineligibility

# 19 Ineligibility for presence, Use or attempted Use, or Possession of a Prohibited Substance or Prohibited Method

The period of Ineligibility for a violation of arts 6 (Presence of a Prohibited Substance or its Metabolites or Markers in a Player's Sample), 7 (Use or attempted Use by a Player of a Prohibited Substance or a Prohibited Method) or 11 (Possession of a Prohibited Substance or a Prohibited Method) shall be as follows, subject to potential elimination, reduction or suspension pursuant to arts 21 (Elimination of the Period of Ineligibility where there is No Fault or Negligence), 22 (Reduction of the period of Ineligibility based on No Significant Fault or Negligence) or 23 (Elimination, reduction, or suspension of period of Ineligibility or other consequences for reasons other than Fault):

### 1.

The period of Ineligibility shall be four years where:

- a) the anti-doping rule violation does not involve a Specified Substance, unless the Player or other Person can establish that the anti-doping rule violation was not intentional;
- b) the anti-doping rule violation involves a Specified Substance and FIFA can establish that the anti-doping rule violation was intentional.

### 2.

If art. 19 par. 1 does not apply, the period of Ineligibility shall be two years.

### 3.

As used in arts 19 (Ineligibility for presence, Use or attempted Use, or Possession of a Prohibited Substance or Prohibited Method) and 20 (Ineligibility for other anti-doping rule violations), the term "intentional" is meant to identify those Players who cheat. The term therefore requires that the Player or other Person engaged in conduct which he knew constituted an anti-doping rule violation or knew that there was a significant risk that the conduct might constitute or result in an anti-doping rule violation and manifestly disregarded that risk. With regard to anti-doping rule violations resulting from an Adverse Analytical Finding for a substance which is only prohibited In-Competition, there shall be a rebuttable presumption that said violations are not intentional if the substance is a Specified Substance and the Player can establish that the Prohibited Substance was Used Out-of-Competition. An anti-doping rule violation resulting from an Adverse Analytical Finding for a substance which

is only prohibited In-Competition shall not be considered intentional if the substance is not a Specified Substance and the Player can establish that the Prohibited Substance was Used Out-of-Competition in a context unrelated to sport performance.

## 20 Ineligibility for other anti-doping rule violations

The period of Ineligibility for anti-doping rule violations other than as provided in art. 19 (Ineligibility for presence, Use or attempted Use, or Possession of a Prohibited Substance or Prohibited Method) shall be as follows, unless arts 22 (Reduction of the period of Ineligibility based on No Significant Fault or Negligence) or 23 (Elimination, reduction, or suspension of period of Ineligibility or other consequences for reasons other than Fault) are applicable:

### 1.

For violations of art. 8 (Evading, refusing or failing to submit to Sample collection) or art. 10 (Tampering or attempted Tampering with any part of Doping Control), the period of Ineligibility shall be four years unless, in the case of failing to submit to Sample collection, the Player can establish that the commission of the anti-doping rule violation was not intentional (as defined in art. 19 par. 3), in which case the period of Ineligibility shall be two years.

### 2.

For violations of art. 9 (Whereabouts failures), the period of Ineligibility shall be two years, subject to reduction down to a minimum of one year, depending on the Player's degree of Fault. The flexibility between two years and one year of Ineligibility in this article is not available to Players where a pattern of last-minute whereabouts changes or other conduct raises a serious suspicion that the Player was trying to avoid being available for Testing.

### 3.

For violations of art. 12 (Trafficking or attempted Trafficking in any Prohibited Substance or Prohibited Method) or 13 (Administration or attempted Administration of any Prohibited Substance or Prohibited Method), the period of Ineligibility shall be a minimum of four years up to lifetime Ineligibility, depending on the seriousness of the violation. An art. 12 or art. 13 violation involving a Minor shall be considered a particularly serious violation and, if committed by Player Support Personnel for violations other than for Specified Substances, shall result in lifetime Ineligibility for Player Support Personnel. In addition, significant violations of art. 12 or art. 13 which may also violate

non-sporting laws and regulations shall be reported to the competent administrative, professional or judicial authorities.

**4.**

For violations of art. 14 (Complicity), the period of Ineligibility imposed shall be a minimum of two years, up to four years, depending on the Player's or other Person's degree of Fault and other circumstances of the case.

**5.**

For violations of art. 15 (Prohibited association), the period of Ineligibility shall be two years, subject to reduction down to a minimum of one year, depending on the Player's or other Person's degree of Fault and other circumstances of the case.

## Section 2: Elimination, reduction or suspension of period of Ineligibility

### **21** Elimination of the period of Ineligibility where there is No Fault or Negligence

If a Player or other Person establishes in an individual case that he bears No Fault or Negligence, the otherwise applicable period of Ineligibility shall be eliminated.

### **22** Reduction of the period of Ineligibility based on No Significant Fault or Negligence

#### 1.

**Reduction of sanctions for Specified Substances or Contaminated Products for violations of art. 6 (Presence of a Prohibited Substance or its Metabolites or Markers in a Player's Sample), 7 (Use or attempted Use by a Player of a Prohibited Substance or a Prohibited Method) or 11 (Possession of a Prohibited Substance or a Prohibited Method)**

#### a) Specified Substances

Where the anti-doping rule violation involves a Specified Substance, and the Player or other Person can establish No Significant Fault or Negligence, the period of Ineligibility shall be, at a minimum, a reprimand and no period of Ineligibility, and at a maximum, two years of Ineligibility, depending on the Player's or other Person's degree of Fault.

#### b) Contaminated Products

In cases where the Player or other Person can establish No Significant Fault or Negligence and that the detected Prohibited Substance came from a Contaminated Product, the period of Ineligibility shall be, at a minimum, a reprimand and no period of Ineligibility, and at a maximum, two years' Ineligibility, depending on the Player's or other Person's degree of Fault.

#### 2.

**Application of No Significant Fault or Negligence beyond the application of art. 22 par. 1.**

If a Player or other Person establishes in an individual case where art. 22 par. 1 is not applicable that he bears No Significant Fault or Negligence, then, subject to further reduction or elimination as provided in art. 23, the otherwise applicable period of Ineligibility may be reduced based on the Player or other Person's degree of Fault, but the reduced period of Ineligibility may not be

less than one half of the period of Ineligibility otherwise applicable. If the otherwise applicable period of Ineligibility is a lifetime, the reduced period under this article may be no less than eight years.

## **23** Elimination, reduction, or suspension of period of Ineligibility or other consequences for reasons other than Fault

This article also applies to Specified Substances and Contaminated Products.

### **1.**

#### **Substantial Assistance in discovering or establishing anti-doping rule violations**

- a) FIFA may, prior to a final appellate decision under these Regulations or the expiration of the time to appeal, suspend a part of the period of Ineligibility imposed in an individual case in which it has results management authority where the Player or other Person has provided Substantial Assistance to an Anti-Doping Organisation, criminal authority or professional disciplinary body which results in: (i) the Anti-Doping Organisation discovering or bringing forward an anti-doping rule violation by another Person, or (ii) which results in a criminal or disciplinary body discovering or bringing forward a criminal offence or the breach of professional rules committed by another Person and the information provided by the Person providing Substantial Assistance is made available to FIFA. After a final appellate decision under these Regulations or the expiration of time to appeal, FIFA may only suspend a part of the otherwise applicable period of Ineligibility with the approval of WADA. The extent to which the otherwise applicable period of Ineligibility may be suspended shall be based on the seriousness of the anti-doping rule violation committed by the Player or other Person and the significance of the Substantial Assistance provided by the Player or other Person to the effort to eliminate doping in sport. No more than three quarters of the otherwise applicable period of Ineligibility may be suspended. If the otherwise applicable period of Ineligibility is a lifetime, the non-suspended period under this article must be no less than eight years. If the Player or other Person fails to continue to cooperate and to provide the complete and credible Substantial Assistance upon which a suspension of the period of Ineligibility was based, FIFA shall reinstate the original period of Ineligibility. If FIFA decides to reinstate a suspended period of Ineligibility or decides not to reinstate a suspended period of Ineligibility, that decision may be appealed by any Person entitled to appeal under these Regulations.

- b) To further encourage Players and other Persons to provide Substantial Assistance to Anti-Doping Organisations, at the request of the Anti-Doping Organisation conducting results management or at the request of the Player or other Person who has, or has been asserted to have, committed an anti-doping rule violation, WADA may agree at any stage of the results management process, including after a final appellate decision under these Regulations, to what it considers to be an appropriate suspension of the otherwise-applicable period of Ineligibility and other consequences. In exceptional circumstances, WADA may agree to suspensions of the period of Ineligibility and other consequences for Substantial Assistance greater than those otherwise provided in this article, or even no period of Ineligibility, and/or no return of prize money or payment of fines or costs. WADA's approval shall be subject to reinstatement of the sanction, as otherwise provided in this article. Notwithstanding section 6 of chapter X (Appeals), WADA's decisions in the context of this article may not be appealed by any other Anti-Doping Organisation.
- c) If FIFA suspends any part of an otherwise applicable sanction because of Substantial Assistance, notice providing justification for the decision shall be provided to the other Anti-Doping Organisations with a right to appeal under these Regulations. In unique circumstances where WADA determines that it would be in the best interests of anti-doping, WADA may authorise an Anti-Doping Organisation to enter into appropriate confidentiality agreements limiting or delaying the disclosure of the Substantial Assistance agreement or the nature of Substantial Assistance being provided.

## 2.

### **Admission of an anti-doping rule violation in the absence of other evidence**

Where a Player or other Person voluntarily admits to the FIFA Disciplinary Committee that he has committed an anti-doping rule violation before having received notice of a Sample collection which could establish an anti-doping rule violation (or, in the case of an anti-doping rule violation other than art. 6 (Presence of a Prohibited Substance or its Metabolites or Markers in a Player's Sample), before receiving first notice of the admitted violation pursuant to section 3 of chapter IX (Results management)) and that admission is the only reliable evidence of the violation at the time of admission, the period of Ineligibility may be reduced, but not below one half of the period of Ineligibility otherwise applicable.

**3.****Prompt admission of an anti-doping rule violation after being confronted with a violation sanctionable under art. 19 par. 1 (Ineligibility for presence, Use or attempted Use, or Possession of a Prohibited Substance or Prohibited Method) or art. 20 par. 1 (Ineligibility for other anti-doping rule violations).**

A Player or other Person potentially subject to a four-year sanction under art. 19 par. 1 or 20 par. 1 (for evading or refusing Sample collection or Tampering with Sample collection), by promptly admitting the asserted anti-doping rule violation after being confronted by FIFA, and also upon the approval and at the discretion of both WADA and FIFA, may receive a reduction in the period of Ineligibility down to a minimum of two years, depending on the seriousness of the violation and the Player or other Person's degree of Fault.

**4.****Application of multiple grounds for reduction of a sanction**

Where a Player or other Person establishes entitlement to reduction in sanction under more than one provision of art. 21 (Elimination of the period of Ineligibility where there is No Fault or Negligence), art. 22 (Reduction of the period of Ineligibility based on No Significant Fault or Negligence) or art. 23 (Elimination, reduction, or suspension of period of Ineligibility or other consequences for reasons other than Fault), before applying any reduction or suspension under art. 23, the otherwise applicable period of Ineligibility shall be determined in accordance with arts 19 (Ineligibility for presence, Use or attempted Use, or Possession of a Prohibited Substance or Prohibited Method), 20 (Ineligibility for other anti-doping rule violations), 21, and 22. If the Player or other Person establishes entitlement to a reduction or suspension of the period of Ineligibility under art. 23, the period of Ineligibility may be reduced or suspended, but not below one fourth of the otherwise applicable period of Ineligibility.



### Section 3: Increasing the period of Ineligibility and multiple violations

## 24 Multiple violations

#### 1.

For a Player or other Person's second anti-doping rule violation, the period of Ineligibility shall be the greater of:

- a) six months;
- b) one half of the period of Ineligibility imposed for the first anti-doping rule violation without taking into account any reduction under art. 23 (Elimination, reduction, or suspension of period of Ineligibility or other consequences for reasons other than Fault); or
- c) twice the period of Ineligibility otherwise applicable to the second anti-doping rule violation treated as if it was a first violation, without taking into account any reduction under art. 23.

The period of Ineligibility established above may then be further reduced by the application of art. 23.

#### 2.

A third anti-doping rule violation will always result in a lifetime period of Ineligibility, except if the third violation fulfils the condition for elimination or reduction of the period of Ineligibility under art. 21 (Elimination of the period of Ineligibility where there is No Fault or Negligence) or 22 (Reduction of the period of Ineligibility based on No Significant Fault or Negligence), or involves a violation of art. 9 (Whereabouts failures). In these particular cases, the period of Ineligibility shall be from eight years to lifetime Ineligibility.

#### 3.

An anti-doping rule violation for which a Player or other Person has established No Fault or Negligence shall not be considered a prior violation for the purpose of this Article.

#### 4.

#### **Additional rules for certain potential multiple violations**

- a) For the purpose of imposing sanctions under art. 24 (Multiple violations), an anti-doping rule violation will only be considered a second violation if

FIFA can establish that the Player or other Person committed the second anti-doping rule violation after the Player or other Person received notice pursuant to Section 3 of chapter IX (Results management) or after FIFA made reasonable efforts to give notice of the first anti-doping rule violation. If FIFA cannot establish this, the violations shall be considered together as one single first violation, and the sanction imposed shall be based on the violation that carries the more severe sanction.

- b) If, after the imposition of a sanction for a first anti-doping rule violation, FIFA discovers facts involving an anti-doping rule violation by the Player or other Person which occurred prior to notification regarding the first violation, FIFA shall impose an additional sanction based on the sanction that could have been imposed if the two violations had been adjudicated at the same time. Results in all Competitions dating back to the earlier anti-doping rule violation will be disqualified as provided in art. 25 (Disqualification of results in Competitions subsequent to Sample collection or Commission of an anti-doping rule violation).

## 5.

### **Multiple anti-doping rule violations during ten-year period**

For the purpose of art. 24 (Multiple violations), each anti-doping rule violation must take place within the same ten-year period in order to be considered multiple violations.

## Section 4: Common provisions regarding sanctions on individuals

### **25** Disqualification of results in Competitions subsequent to Sample collection or Commission of an anti-doping rule violation

In addition to the automatic disqualification of the results in the Competition which produced the positive Sample under these Regulations, all other competitive results of the Player obtained from the date a positive Sample was collected (whether In-Competition or Out-of-Competition), or other anti-doping rule violation occurred, through the commencement of any Provisional Suspension or period of Ineligibility, shall, unless fairness requires otherwise, be disqualified with all of the resulting consequences including forfeiture of any medals, points and prizes.

### **26** Allocation of CAS cost awards and forfeited prize money

The priority for repayment of CAS cost awards and forfeited prize money shall be: first, payment of costs awarded by CAS; and second, reimbursement of the expenses of FIFA.

### **27** Financial consequences

#### **1.**

On account of anti-doping rule violations, financial sanctions may be imposed in accordance with the FIFA Disciplinary Code.

#### **2.**

However, no financial sanction may be considered as grounds for reducing the period of Ineligibility or other sanction that would otherwise be applicable under these Regulations.

#### **Repayment of prize money or other financial support**

#### **3.**

As a condition of regaining eligibility after being found to have committed an anti-doping rule violation, the Player may first be obliged to repay all prize money or other financial support obtained from sports organisations, and from the date a positive Sample was collected or other anti-doping rule

violation occurred, until the commencement of any Provisional Suspension or period of Ineligibility.

**4.**

The forfeited prize money shall be allocated to reimburse the expenses of the Sample collection and the results management of this case.

## **28** Commencement of period of Ineligibility

Except as provided below, the period of Ineligibility shall start as soon as the decision providing for Ineligibility is communicated to the Player or other Person concerned.

**1.**

### **Delays not attributable to the Player or other Person**

Where there have been substantial delays in the hearing process or other aspects of Doping Control not attributable to the Player or other Person, the FIFA Disciplinary Committee may decide that the period of Ineligibility shall start at an earlier date, commencing as early as the date of Sample collection or the date on which another anti-doping rule violation last occurred. All competitive results achieved during the period of Ineligibility, including retroactive Ineligibility, shall be disqualified.

**2.**

### **Timely admission**

Where the Player or other Person promptly (which, in all events, for a Player means before the Player competes again) admits the anti-doping rule violation after being confronted with the anti-doping rule violation by FIFA, the period of Ineligibility may start as early as the date of Sample collection or the date on which another anti-doping rule violation last occurred. In each case, however, where this article is applied, the Player or other Person shall serve at least one half of the period of Ineligibility going forward from the date the Player or other Person accepted the imposition of a sanction, the date of a hearing decision imposing a sanction, the date of the communication of the decision imposing a sanction, or the date the sanction is otherwise imposed. This article shall not apply where the period of Ineligibility has already been reduced under art. 23 par. 3 (Elimination, reduction, or suspension of period of Ineligibility or other consequences for reasons other than Fault).

**3.****Credit for Provisional Suspension or period of Ineligibility served**

- a) If a Provisional Suspension is imposed and respected by the Player or other Person, the Player or other Person shall receive a credit for such period of Provisional Suspension against any period of Ineligibility which may ultimately be imposed. If a period of Ineligibility is served pursuant to a decision that is subsequently appealed, the Player or other Person shall receive a credit for such period of Ineligibility served against any period of Ineligibility which may ultimately be imposed on appeal.
- b) If a Player or other Person voluntarily accepts a Provisional Suspension in writing from FIFA and thereafter respects the Provisional Suspension, the Player or other Person shall receive a credit for such period of voluntary Provisional Suspension against any period of Ineligibility which may ultimately be imposed. A copy of the Player or other Person's voluntary acceptance of a Provisional Suspension shall be provided promptly to each party entitled to receive notice of an asserted anti-doping rule violation as provided in these Regulations (art. 68: Information concerning potential anti-doping rule violations).
- c) No credit against a period of Ineligibility shall be given for any time period before the effective date of the Provisional Suspension or voluntary Provisional Suspension regardless of whether the Player elected not to compete or was suspended by his club or Association.
- d) Where a period of Ineligibility is imposed upon a team, unless fairness requires otherwise, the period of Ineligibility shall start on the date of the final hearing decision providing for Ineligibility or, if the hearing is waived, on the date Ineligibility is accepted or otherwise imposed. Any period of team Provisional Suspension (whether imposed or voluntarily accepted) shall be credited against the total period of Ineligibility to be served.

## 29

### Status during Ineligibility

**1.****Prohibition of participation during Ineligibility**

No Player or other Person who has been declared ineligible may, during the period of Ineligibility, participate in any capacity in a Competition or activity (other than authorised anti-doping education or rehabilitation programmes) authorised or organised by FIFA or any Association, a club or other member

organisation of an Association, or in Competitions authorised or organised by any professional league or any international or national-level Competition organisation or any elite or national-level sporting activity funded by a governmental agency.

A Player or other Person subject to a period of Ineligibility longer than four years may, after completing four years of the period of Ineligibility, participate as a Player in local sports competitions not approved or otherwise under the jurisdiction of FIFA, the Associations or Confederations, but only so long as the local sports event is not at a level that could otherwise qualify such Player or other Person directly or indirectly to compete in (or accumulate points towards) a national championship or International Competition, and does not involve the Player or other Person working in any capacity with Minors.

A Player or other Person subject to a period of Ineligibility shall remain subject to Testing.

## **2.**

### **Return to training**

As an exception to art. 29 par. 1, a Player may return to train with a team or to use the facilities of a club or other member organisation of a FIFA Member Association during the shorter of: (1) the last two months of the Player's period of Ineligibility, or (2) the last one quarter of the period of Ineligibility imposed.

## **3.**

### **Violation of the prohibition of participation during Ineligibility**

Where a Player or other Person who has been declared ineligible violates the prohibition against participation during Ineligibility as described in art. 29 par. 1, a new period of Ineligibility equal in length up to the original period of Ineligibility shall be added to the end of the original period of Ineligibility. The new period of Ineligibility may be adjusted based on the Player or other Person's degree of Fault and other circumstances of the case. The determination of whether a Player or other Person has violated the prohibition against participation, and whether an adjustment is appropriate, shall be made by FIFA. This decision may be appealed as provided in these Regulations.

Where Player Support Personnel or other Person assists a Person in violating the prohibition against participation during Ineligibility, FIFA shall impose sanctions for a violation of art. 14 (Complicity) for such assistance.

**4.****Withholding of financial support during Ineligibility**

In addition, for any anti-doping rule violation not involving a reduced sanction as described in art. 21 (Elimination of the period of Ineligibility where there is No Fault or Negligence) or art. 22 (Reduction of the period of Ineligibility based on No Significant Fault or Negligence), some or all sport-related financial support or other sport-related benefits received by such Person will be withheld by FIFA, its Member Associations or the Confederations.

## **30** Automatic publication of sanction

A sanction shall include publication (public disclosure), as provided in these Regulations.

## **31** Target Testing of the team

Where more than one member of a team has been notified of an anti-doping rule violation under Section 3 of chapter IX (Results management) in connection with a Competition, the competent body for the Competition shall conduct appropriate Target Testing of the team during the Competition Period.

## **32** Sanction on the club or Association

### **1.**

If more than two members of a team are found to have committed an anti-doping rule violation during a Competition Period, the FIFA Disciplinary Committee, if FIFA is the competent body, or otherwise the Association concerned, shall impose an appropriate sanction on the Association or club to which the members of the team belong in addition to any consequences imposed upon the individual Player(s) committing the anti-doping rule violation.

### **2.**

The sanctions provided for under the FIFA Disciplinary Code in force are applicable.



## 33 Jurisdiction

### 1.

Where it is asserted that an anti-doping rule has been violated in connection with any test conducted by FIFA, the chairman of the FIFA Disciplinary Committee shall be responsible for imposing the relevant Provisional Suspension.

### 2.

For the purpose of this chapter, references hereafter to the chairman of the FIFA Disciplinary Committee shall, where appropriate, be understood as meaning the relevant Person or body of the Association, and references to the Player shall, where appropriate, be understood as meaning any Player Support Personnel or other Person.

## 34 Mandatory Provisional Suspension after an Adverse Analytical Finding

### 1.

In the case of an Adverse Analytical Finding for a Prohibited Substance or a Prohibited Method, other than a Specified Substance, a Provisional Suspension shall be imposed promptly after the review and notification described under art. 52 (Initial review regarding Adverse Analytical/Atypical Findings and notification).

### 2.

The Provisional Suspension may be lifted if the Player demonstrates to the FIFA Disciplinary Committee that the violation is likely to have involved a Contaminated Product.

### 3.

A Provisional Suspension may not be imposed unless the Player is given either: (a) an opportunity for a Provisional Hearing, either before imposition of the Provisional Suspension or on a timely basis after imposition of the Provisional Suspension; or (b) an opportunity for an expedited hearing on a timely basis after imposition of a Provisional Suspension.

## **35** Optional Provisional Suspension based on an Adverse Analytical Finding for Specified Substances, Contaminated Products, or other anti-doping rule violations

### **1.**

In the case of an Adverse Analytical Finding for a Specified Substance, Contaminated Products or other anti-doping rule violations, a Provisional Suspension may be imposed.

### **2.**

A Provisional Suspension may not be imposed unless the Player is given either: (a) an opportunity for a Provisional Hearing, either before imposition of the Provisional Suspension or on a timely basis after imposition of the Provisional Suspension; or (b) an opportunity for an expedited hearing on a timely basis after imposition of a Provisional Suspension.

## **36** Voluntary Provisional Suspension

### **1.**

Alternatively, the Player or other Person may accept a voluntary Provisional Suspension provided that this is confirmed in writing to the chairman of the FIFA Disciplinary Committee.

### **2.**

A voluntary Provisional Suspension shall be effective only from the date of receipt of the Player's or other Person's written confirmation of such by FIFA. Therefore, the Association concerned has to promptly submit a copy of the Player's or other Person's voluntary acceptance of a Provisional Suspension if it was addressed to the relevant Person or body of the Association.

## **37** Notification

### **1.**

A Player or other Person who has been provisionally suspended shall be notified immediately, as set forth in the FIFA Disciplinary Code and in the FIFA Anti-Doping Regulations.

**2.**

In any case where an Association imposes or declines to impose a Provisional Suspension or a Player or other Person accepts a voluntary suspension, the Association shall inform the FIFA Disciplinary Committee of this fact immediately.

# 38

## “B” Sample proves negative

**1.**

If a Provisional Suspension is imposed based on an Adverse Analytical Finding and a subsequent “B” Sample analysis does not confirm the Adverse Analytical Finding, the Player shall not be subject to any further Provisional Suspension on account of a violation of art. 6 (Presence of a Prohibited Substance or its Metabolites or Markers in a Player’s Sample).

**2.**

In circumstances where the Player or team has been removed from a Competition based on a violation of art. 6 (Presence of a Prohibited Substance or its Metabolites or Markers in a Player’s Sample) and the subsequent “B” Sample analysis does not confirm the “A” Sample finding, where, without otherwise affecting the Competition, it is still possible for the Player or his team to be reinstated, the Player or team may continue to take part in the Competition.

**3.**

With reference to par. 2, in any other case where a reinstatement affects the Competition, the Player or team shall not continue to take part in the Competition and shall not make any claim for damages or compensation.

## 39 Statute of limitations

No anti-doping rule violation proceeding may be commenced against a Player or other Person unless he has been notified of the anti-doping rule violation as provided in these Regulations, or notification has been reasonably attempted, within ten years of the date the violation is asserted to have occurred.

## SECOND TITLE: TESTING AND PROCEDURAL RULES

### Section 1: Testing

# 40

## General rules for Testing

### 1.

Under these Regulations, every Player may be subject to In-Competition Testing at the Matches in which he competes and to Out-of-Competition Testing at any time and place by FIFA or the relevant Association. Testing includes urine tests and blood tests.

### 2.

Within its jurisdiction, FIFA may delegate Testing under these Regulations to any Association, Confederation, WADA, governmental agency, NADO or third party that it deems to be suitably qualified for the purpose. In this case, reference to the FIFA Anti-Doping Unit or the FIFA Doping Control Officer shall, where appropriate, be understood as meaning the mandated party or Person.

### 3.

Only a single organisation shall be responsible for initiating and directing In-Competition Testing.

- a) At International Competitions, the collection of Samples shall be directed by the international organisation that is the competent body for the Match/Competition.
- b) At National Competitions, the collection of Samples shall be directed by the designated NADO of that country.
- c) If an Anti-Doping Organisation is not responsible for initiating and directing Testing at a Competition, but is authorised nevertheless to conduct additional Testing during the Competition Period, it shall first contact the competent body of the Match/Competition to obtain the appropriate permission. If the Anti-Doping Organisation is not satisfied with the response of the competent body, it may ask WADA for permission to conduct additional Testing and to determine how to coordinate such additional Testing. WADA shall not grant any such approval before it has consulted in depth with the competent body for the Match/Competition.

**4.**

In addition to FIFA and the relevant Association, the following organisations shall be responsible for initiating and directing Out-of-Competition Testing:

- a) WADA;
- b) the IOC in connection with the Olympic Games;
- c) the NADO of the country or territory in which the Players are present.

**5.**

Testing of individual Players shall be performed with no advance notice. For In-Competition Testing, place holder selection may be known in advance, but shall not be revealed to the Player until notification.

## **41** Test distribution plan

**1.**

The FIFA Anti-Doping Unit shall develop a test distribution plan for efficient and effective In-Competition and Out-of-Competition Testing for all Players over whom FIFA has jurisdiction, including but not limited to Players in the FIFA International Registered Testing Pool.

**2.**

In developing the test distribution plan, the FIFA Anti-Doping Unit shall consider the risk of doping in football based on:

- a) the FIFA doping control database on positive tests and the respective substances detected;
- b) the WADA statistics;
- c) the history of doping in football;
- d) the Competition calendar, including seasonal breaks;
- e) the number of Players;
- f) the physical demands of football; and
- g) research.

**3.**

The FIFA Anti-Doping Unit shall also take the anti-doping activities of the Member Associations and Confederations, the strength of the national anti-doping programme of the particular nation, and the outcome of previous test distribution planning cycles into account. The plan shall be updated, if necessary, on the basis of this regular review, particularly with regard to the relative merits of Out-of-Competition and In-Competition Testing in football.

**4.**

The timing of Testing and the number of Sample collections shall be determined by the type of Sample collection, including Out-of-Competition, In-Competition, blood and urine Sample collection, in order to ensure optimum deterrence and detection of doping in football.

**5.**

Player Support Personnel and/or any other Person with a conflict of interest shall not be involved in test distribution planning for their Players or in the process of selecting Players for Testing.

**6.**

The FIFA Anti-Doping Unit shall maintain a record of test distribution planning data in order to coordinate Testing activities with other Anti-Doping Organisations.

**7.**

The Chain of Custody of the Samples shall ensure that Samples and the respective documentation forms arrive together at the laboratory.

## **42** Selection of Players for Testing

**1.**

In implementing the test distribution plan, the FIFA Anti-Doping Unit shall select Players for Sample collection using random selection methods and Target Testing, as applicable.

**2.**

Target Testing shall be based on an intelligent assessment of the risks of doping and the most effective use of resources to ensure optimum detection and deterrence. In football, as a team sport, Target Testing shall be primarily aimed at identifying systematic doping in a team. If more than one Player in a team has been tested positive, Target Testing shall be performed on all Players

in the team. For individual Players, Target Testing may be performed as a consequence of behaviour indicating doping, abnormal biological parameters (blood parameters, steroid profiles, etc.), injury, repeated failure to make whereabouts filings, Player test history and when a Player is reinstated after a period of Ineligibility.

**3.**

Testing that is not Target Testing shall be determined by random selection in accordance with the FIFA Doping Control procedure (Annexe D).

In-Competition, the FIFA Doping Control Officer shall be authorised to select additional Players for Sample collection, e.g. for behaviour indicating doping. Out-of-Competition, the FIFA Doping Control Officer shall follow the instructions for the selection of the Player(s) as given on the respective authorisation form by the FIFA Anti-Doping Unit.

## **43** Sample collection personnel: FIFA Doping Control Officers, assistants, Chaperones

**1.**

The FIFA Anti-Doping Unit and the relevant Competition organising committee shall designate an accredited FIFA Doping Control Officer to carry out In-Competition tests at the Matches in question.

**2.**

The FIFA Anti-Doping Unit shall also designate the FIFA Doping Control Officers responsible for Out-of-Competition doping tests as defined in the test distribution plan.

**3.**

The FIFA Doping Control Officer must have undergone specific training as a FIFA Doping Control Officer. He shall be responsible for the entire doping test procedure, including blood sampling and the immediate dispatch of urine Samples to the relevant laboratory and of copies of the forms to FIFA. FIFA shall provide him with the material required to carry out the tests.

**4.**

The FIFA Anti-Doping Unit may also appoint one or several assistants to the FIFA Doping Control Officer, if necessary, e.g. in the case of double-headers. Furthermore, the FIFA Doping Control Officer may be supported by Chaperones.



**5.**

The FIFA Doping Control Officer may delegate the urine sampling procedure or parts thereof to his assistant. The blood sampling procedure may not be delegated unless the assistant is a doctor. Nevertheless, if national legislation allows professionals other than doctors to collect Samples of bodily fluids (with all consequences including medical confidentiality according to medical ethics and the Hippocratic Oath), an exception may be made regarding the assistant by the FIFA Anti-Doping Unit. In the case of delegation, reference to the FIFA Doping Control Officer shall, where appropriate, be understood as meaning the assistant.

**6.**

All other Sample collection personnel, in addition to the FIFA Doping Control Officer, shall have been trained for their assigned responsibilities, shall not have a conflict of interest in the outcome of the Sample collection for which they are appointed and shall not be Minors.

**7.**

All Sample collection personnel shall have official identification that is provided either by FIFA or the FIFA-authorized Anti-Doping Organisation/ relevant competent body. The minimum identification requirement is official documentation naming FIFA or the FIFA-authorized Anti-Doping Organisation by which the Person has been authorized. In the case of FIFA Doping Control Officers, this documentation shall include their name and photograph and an expiry date.

## **44** Failure to comply with Doping Control

**1.**

When any member of the Sample collection personnel becomes aware of any matters occurring before, during or after a Sample collection session that may lead to a determination of a failure to comply, he must inform the FIFA Doping Control Officer immediately.

**2.**

The FIFA Doping Control Officer shall then:

- a) inform the Player or other party concerned of the consequences of a possible failure to comply;

- b) complete the Player's Sample collection session, if possible;
- c) provide a detailed written report of any possible failure to comply to the FIFA Anti-Doping Unit.

**3.**

The FIFA Anti-Doping Unit shall then:

- a) inform the Player or other party concerned of the possible failure to comply in writing and grant an opportunity to respond;
- b) instigate an investigation of the possible failure to comply based on all relevant information and documentation;
- c) document the evaluation process;
- d) make the final determination available to other Anti-Doping Organisations in accordance with section 4 of chapter X (Confidentiality and reporting).

**4.**

If the FIFA Anti-Doping Unit determines that there has been a potential failure to comply, it shall:

- a) promptly notify the Player or other party in writing of the possible consequences, i.e. that a potential failure to comply will be investigated by the FIFA Disciplinary Committee or its equivalent at Association level and that appropriate follow-up action will be taken in accordance with these Regulations and the FIFA Disciplinary Code;
- b) notify the FIFA Disciplinary Committee of all relevant facts.

**5.**

Any additional necessary information about the potential failure to comply shall be obtained from all relevant sources, including the Player or other party, as soon as possible and recorded.

**6.**

The FIFA Disciplinary Committee shall investigate the potential failure to comply and take appropriate follow-up action in accordance with these Regulations and the FIFA Disciplinary Code.

**7.**

The FIFA Anti-Doping Unit shall establish a system for ensuring that the outcomes of its investigation into the potential failure to comply are considered for the purposes of results management and, if applicable, for further planning and Target Testing.

## **45** Whereabouts information

The provisions to be respected by the Players governing whereabouts information are set forth under Annexe C of these Regulations.

## Section 2: Analysis of Samples

# 46

### Use of accredited and approved laboratories

#### 1.

Analysis of the Samples shall be carried out in WADA-accredited laboratories or as otherwise approved by WADA (see Annexe F). The choice of the WADA-accredited laboratory (or other laboratory or method) used for the Sample analysis shall be determined exclusively by the FIFA Anti-Doping Unit.

#### 2.

Samples shall be analysed to detect Prohibited Substances and Prohibited Methods identified in the Prohibited List and other substances as may be directed by WADA pursuant to its monitoring programme; or to assist FIFA in profiling relevant parameters in a Player's urine, blood or other matrix, including DNA or genomic profiling; or for any other legitimate anti-doping purpose. Samples may be collected and stored for future analysis.

#### 3.

No Sample may be used for any purpose other than that described in the previous paragraph without the Player's written consent. Moreover, Samples used for purposes other than described in the previous paragraph shall have any means of identification removed such that they cannot be traced back to a particular Player.

# 47

### Standards for Sample analysis and reporting

#### 1.

Laboratories shall analyse Samples and report results in conformity with the International Standard for Laboratories. The head of the laboratory shall send the test results immediately by confidential telefax or encrypted email to the FIFA Anti-Doping Unit.

#### 2.

The FIFA Anti-Doping Unit may request that laboratories analyse its Samples using more extensive menus than those described in the technical document of WADA.

**3.**

The FIFA Anti-Doping Unit may request that laboratories analyse its Samples using less extensive menus than those described in the technical document of WADA only if the FIFA Anti-Doping Unit has satisfied WADA that, because of the particular circumstances as set out in a test distribution plan, less extensive analysis would be appropriate.

**4.**

As provided in the International Standard for Laboratories, laboratories at their own initiative and expense may analyse Samples for Prohibited Substances or Prohibited Methods not included on the Sample analysis menu described in the Technical document of WADA or specified by the Testing authority. Results from any such analysis shall be reported to FIFA.

## **48** Retesting Samples

Any Sample may be stored and reanalysed for the purpose of detection of Prohibited Substances and/or Prohibited Methods and other substances as described in this chapter at any time exclusively at the direction of FIFA. The circumstances and conditions for retesting Samples shall conform with the requirements of the International Standard for Laboratories and the International Standard for Testing and Investigations.

## **49** Property

All Samples provided by Players in Doping Controls conducted under the responsibility of FIFA shall immediately become the property of FIFA.

## **50** Guidance

If, at any stage, any question or issue arises concerning the analysis or interpretation of the results of a Sample, the Person responsible for the analysis at the laboratory may consult the FIFA Anti-Doping Unit for guidance.

### Section 3: Results management

## 51 Management process

1.

Following notification of an Adverse Analytical Finding or other anti-doping rule violation under these Regulations, the matter shall be subject to the results management process set forth below.

2.

In the case of a Player tested by FIFA, the results management process shall be conducted by the FIFA Anti-Doping Unit. In all other cases, it shall be conducted by the relevant Person or body of the Player's Association. Requests for assistance in conducting, or information about, the results management process may be made to the FIFA Anti-Doping Unit at any time.

3.

For the purpose of this chapter, references hereafter to the FIFA Anti-Doping Unit shall, where appropriate, be understood as meaning the relevant Person or body of the Association and references to the Player shall, where appropriate, be understood as meaning any Player Support Personnel or other Person.

## 52 Initial review regarding Adverse Analytical/Atypical Findings and notification

1.

Upon receipt of an Adverse Analytical or an Atypical Finding in an "A" Sample, the FIFA Anti-Doping Unit shall conduct a review to determine whether:

- a) an applicable TUE has been granted or will be granted to the Player for the Prohibited Substance;
- b) there is any apparent departure from the International Standard for Laboratories, the International Standard for Testing and Investigations or other applicable provision in these Regulations such as to undermine the validity of the finding.

2.

If the initial review of an Adverse Analytical Finding does not reveal an applicable TUE or entitlement to a TUE or departure that caused the Adverse

Analytical Finding, the FIFA Anti-Doping Unit shall at once confidentially notify the FIFA Secretary General, the chairman of the FIFA Disciplinary Committee, the chairman of the FIFA Medical Committee, the Player's Association and/or club of the positive result of the "A" Sample. The Player shall be notified simultaneously in the manner set forth under this article.

**3.**

If the initial review of an Atypical Finding does not reveal an applicable TUE or an apparent departure that caused the Atypical Finding, the FIFA Anti-Doping Unit shall conduct the required investigation. After the investigation has been completed, the Player (in the manner provided below), his club, the Association concerned and WADA shall be notified, whether or not the Atypical Finding will be brought forward as an Adverse Analytical Finding.

**4.**

In the case of an Adverse Analytical Finding, the Player has to be promptly notified of (see art. 60 (Addressees of decisions and other documents) and chapter X section 4 (Confidentiality and reporting)):

- a) the Adverse Analytical Finding;
- b) the anti-doping rule violated;
- c) his right to promptly request the analysis of the "B" Sample and, failing such request within the time limit set by these Regulations, of the fact that the "B" Sample analysis may be deemed waived. The Player shall be advised at the same time that, if the "B" Sample analysis is requested, all related laboratory costs shall be borne by the Player, unless the "B" Sample fails to confirm the "A" Sample, in which case the costs shall be borne by FIFA;
- d) the fact that analysis of the "B" Sample may be conducted at the request of FIFA regardless of the Player's decision in this respect;
- e) the scheduled date, time and place for the "B" Sample analysis if the Player or FIFA chooses to request an analysis of the "B" Sample;
- f) the opportunity for the Player and/or the Player's representative to attend the "B" Sample opening and analysis;
- g) the Player's right to request copies of the "A" and "B" Sample laboratory documentation package, which includes information as required by the International Standard for Laboratories.

**5.**

Notice of an Atypical Finding will not be provided before completion of the investigation under this article unless one of the following circumstances exists:

- a) If FIFA determines that the "B" Sample should be analysed prior to the conclusion of its investigation under art. 52 par. 4, FIFA may conduct the "B" Sample analysis after notifying the Player accordingly, such notice to include a description of the Atypical Finding and the information described in art. 52 par. 4 c) to g).
- b) If FIFA receives a request, either from a Major Event Organisation shortly before one of its international events or from a sports organisation responsible for meeting an imminent deadline for selecting team members for an international event, to disclose whether any Player identified on a list provided by the Major Event Organisation or sports organisation has a pending Atypical Finding, FIFA shall so identify any such Player after first providing notice of the Atypical Finding to the Player.

## 53

### Analysis of the "B" Sample in Adverse Analytical Findings

**1.**

The Player has the right to request the analysis of the "B" Sample, within 12 (In-Competition)/48 (Out-of-Competition) hours of being notified. The request of the analysis of the "B" Sample has no impact on a Provisional Suspension of the Player.

**2.**

A Player may accept an "A" Sample analytical result by waiving his right to the "B" Sample analysis. The FIFA Anti-Doping Unit may, however, request the analysis of the "B" Sample at any time if it believes that such analysis will be relevant for consideration of the Player's case.

**3.**

The FIFA Anti-Doping Unit shall communicate the request for analysis of the "B" Sample immediately to the head of the laboratory where the "B" Sample is being kept. The analysis of the "B" Sample should be carried out within 48 hours of FIFA's request or as soon as possible.



- a) The laboratory is required to be ready to perform the “B” Sample analysis within this time frame, as laid down in the agreement between FIFA and the respective laboratory prior to the Match/Competition where controls are being conducted;
- b) If the laboratory is unable to perform the “B” Sample analysis within this time frame for technical or logistical reasons, the analysis shall take place at the next available date for the laboratory. This shall not be considered as a deviation from the International Standard for Laboratories susceptible to invalidate the analytical procedure and analytical results. No other reason shall be accepted for changing the date of the “B” Sample analysis.

#### 4.

The Player and/or his representative shall be allowed to be present at the opening of the “B” Sample analysis and to attend the analysis throughout. A representative of the Player’s Association or club may also be present and attend throughout, as may a representative of FIFA.

#### 5.

The results of the “B” Sample analysis shall be sent immediately by confidential telefax or encrypted email to the FIFA Anti-Doping Unit. On receipt of the laboratory report, the FIFA Anti-Doping Unit shall conduct any follow-up investigation that may be required by the Prohibited List. Upon completion of this investigation, the FIFA Anti-Doping Unit shall promptly notify the Player regarding the results of the follow-up investigation and whether or not FIFA asserts, or continues to assert, that an anti-doping rule has been violated.

## 54 Review of Atypical Passport Findings and Adverse Passport Findings

Review of Atypical Passport Findings and Adverse Passport Findings shall take place as provided in the International Standard for Testing and Investigations and International Standard for Laboratories. At such time as FIFA is satisfied that an anti-doping rule violation has occurred, it shall promptly give the Player (and simultaneously the Player’s National Anti-Doping Organisation and WADA) notice of the anti-doping rule violation asserted and the basis of that assertion.

## **55** Review of whereabouts failures

FIFA shall review potential filing failures and missed tests, as defined in the International Standard for Testing and Investigations, in respect of Players who file their whereabouts information with FIFA, in accordance with Annex I to the International Standard for Testing and Investigations. At such time as FIFA is satisfied that an anti-doping rule violation has occurred under art. 9 (Whereabouts failures), it shall promptly give the Player (and simultaneously the Player's National Anti-Doping Organisation and WADA) notice that it is asserting a violation of art. 9 and the basis of that assertion.

## **56** Review of other anti-doping rule violations

### **1.**

In the case of any possible anti-doping rule violation where there is no Adverse Analytical Finding and no Atypical Finding, the FIFA Anti-Doping Unit shall conduct any investigation based on the facts of the case that it deems to be necessary.

### **2.**

At such time as the FIFA Anti-Doping Unit has reason to believe that an anti-doping violation might have occurred, it shall promptly notify the Player, the Player's club and Association and WADA of the anti-doping rule that appears to have been violated, and the basis of the violation.

### **3.**

The Player shall be afforded an opportunity, within a time limit set by the FIFA Disciplinary Committee, to provide an explanation in response to the anti-doping rule violation asserted.

## **57** Retirement from sport

### **1.**

If a Player or other Person retires while FIFA is conducting the results management process, FIFA retains jurisdiction to complete its results management process.

**2.**

If a Player or other Person retires before any results management process has begun, and FIFA would have had results management authority over the Player or other Person at the time the Player or other Person committed an anti-doping rule violation, FIFA has authority to conduct results management in respect of that anti-doping rule violation.

## 58

### Retired Player returning to Competition

**1.**

If an International- or National-Level Player in a Registered Testing Pool retires and then wishes to return to active participation in sport, the Player shall not compete in International Competitions or National Competitions until the Player has made himself available for Testing, by giving six months' prior written notice to FIFA and his National Anti-Doping Organisation. WADA, in consultation with FIFA and the relevant National Anti-Doping Organisation, may grant an exemption to the six-month written notice rule where the strict application of that rule would be manifestly unfair to a Player. This decision may not be appealed.

**2.**

If a Player retires from sport while subject to a period of Ineligibility and then wishes to return to active competition in sport, the Player shall not compete in International Competitions or National Competitions until the Player has made himself available for Testing by giving six months' prior written notice (or notice equivalent to the period of Ineligibility remaining as of the date the Player retired, if that period was longer than six months) to FIFA and the Player's National Anti-Doping Organisation. FIFA may grant an exemption to the six-month written notice rule where the strict application of that rule would be manifestly unfair to a Player. This decision may not be appealed.

**3.**

If a Player retires while a results management process is under way, FIFA retains jurisdiction to complete its results management process.

**4.**

If a Player retires before any results management process has begun, the Anti-Doping Organisation that would have had results management jurisdiction over the Player at the time the Player committed an anti-doping rule violation has jurisdiction to conduct results management.

## Section 1: General provisions

# 59

## Jurisdiction

### 1.

Where it is asserted that an anti-doping rule has been violated in connection with any test conducted by FIFA, the case shall be submitted to the FIFA Disciplinary Committee. In all other cases, it shall be submitted to the relevant hearing panel of a Confederation or Association.

### 2.

The FIFA Disciplinary Committee shall decide appropriate sanctions in compliance with these Regulations and the FIFA Disciplinary Code.

### 3.

In the case of a Player tested by FIFA, FIFA has the exclusive right to publish the test results and the relevant measures thereof.

### 4.

For the purpose of chapter X, references hereafter to the FIFA Disciplinary Committee shall, where appropriate, be understood as meaning the relevant hearing panel of the Association and references to the Player shall, where appropriate, be understood as meaning any Player Support Personnel or other person.

# 60

## Addressees of decisions and other documents

Decisions and other documents intended for Players, clubs, Match Officials and Officials are addressed to the Association concerned on the condition that it forwards the documents to the parties concerned without delay. In the event that the documents were not also or solely sent to the party concerned, these documents are considered to have been communicated properly to the ultimate addressee four days after communication of the documents to the Association.

# 61

## Form of decisions

**1.**

Decisions communicated by telefax, registered letter or electronic mail shall be legally binding.

**2.**

In exceptional circumstances, the parties may be informed solely of the terms of the decision. The motivated decision will be communicated in full, written form. The time limit to lodge an appeal, where applicable, begins upon receipt of this motivated decision.

## Section 2: Fair hearing

# 62

### Right to a fair hearing

Every Player or other Person accused of an anti-doping rule violation shall have the right to request a hearing in front of the FIFA Disciplinary Committee before any decision on the merits is rendered in accordance with these Regulations and the FIFA Disciplinary Code.

# 63

### Hearing principles

The FIFA Disciplinary Committee shall be fair and impartial and the hearing process shall respect the following rights of the Player or other Person:

- a) the right to be assisted by counsel and an interpreter at the Player's own expense;
- b) the right to be informed in a fair and timely manner of the asserted anti-doping rule violation;
- c) the right to respond to the asserted anti-doping rule violation and resulting consequences;
- d) the right to present evidence, including the right to call and question witnesses;
- e) the right to a timely, written and reasoned decision, specifically including an explanation of the reason(s) for any period of Ineligibility.

# 64

### Considerations of the FIFA Disciplinary Committee

#### 1.

At the hearing, the FIFA Disciplinary Committee shall consider first whether or not an anti-doping rule violation has been committed.

**2.**

The FIFA Disciplinary Committee may draw an adverse inference against the Player who is asserted to have committed an anti-doping rule violation based on the Player's refusal, after a request made in a reasonable time in advance of the hearing, to appear at the hearing (either in Person or by telephone as directed by the FIFA Disciplinary Committee) and to answer questions from the FIFA Disciplinary Committee.

**3.**

If the FIFA Disciplinary Committee considers that an anti-doping rule violation has been committed, it shall consider the appropriate measures applicable under arts 19 (Ineligibility for presence, Use or attempted Use, or Possession of a Prohibited Substance or Prohibited Method) and 20 (Ineligibility for other anti-doping rule violations) prior to the imposition of any period of Ineligibility. The Player shall have the opportunity to establish that there are specific or exceptional circumstances in his case that justify a reduction or elimination of the sanction otherwise applicable.

**4.**

Where no hearing occurs, the FIFA Disciplinary Committee shall consider whether an anti-doping rule violation was committed and, if so, the appropriate measures to take based on the content of the file, and render a reasoned decision explaining the actions taken.

## **65** Procedure at a Competition

The chairman of the FIFA Disciplinary Committee may expedite the procedure at a Competition. He may conduct the hearing on his own or take other measures at his discretion, especially where the resolution of an anti-doping rule violation may affect the participation of a Player in the Competition.

### Section 3: Proof of doping

## 66 Burdens and standards of proof

### 1.

FIFA shall have the burden of establishing that an anti-doping rule violation has occurred. The standard of proof shall be whether FIFA has established an anti-doping rule violation to the comfortable satisfaction of the FIFA Disciplinary Committee, bearing in mind the seriousness of the allegation which is made. In all cases, this standard of proof is greater than a mere balance of probability but less than proof beyond a reasonable doubt.

### 2.

Where these Regulations place the burden of proof upon the Player or other Person alleged to have committed an anti-doping rule violation to rebut a presumption or establish specified facts or circumstances, the standard of proof shall be by a balance of probability.

## 67 Methods of establishing facts and presumptions

### 1.

Facts related to anti-doping rule violations may be established by any reliable means, including admissions.

### 2.

The following rules of proof shall be applicable in doping cases:

- a) Analytical methods or decision limits approved by WADA after consultation within the relevant scientific community and which have been the subject of peer review are presumed to be scientifically valid. Any Player or other Person seeking to rebut this presumption of scientific validity shall, as a condition precedent to any such challenge, first notify WADA of the challenge and the basis of the challenge. CAS, on its own initiative, may also inform WADA of any such challenge. At WADA's request, the CAS panel shall appoint an appropriate scientific expert to assist the panel in its evaluation of the challenge. Within ten days of WADA's receipt of such notice, and WADA's receipt of the CAS file, WADA shall also have the right to intervene as a party, appear *amicus curiae*, or otherwise provide evidence in such proceeding.



- b) WADA-accredited laboratories, and other laboratories approved by WADA, are presumed to have conducted Sample analysis and custodial procedures in accordance with the International Standard for Laboratories. The Player or other Person may rebut this presumption by establishing that a departure from the International Standard for Laboratories occurred which could reasonably have caused the Adverse Analytical Finding. If the Player or other Person rebuts the preceding presumption by showing that a departure from the International Standard for Laboratories occurred which could reasonably have caused the Adverse Analytical Finding, FIFA shall have the burden to establish that such departure did not cause the Adverse Analytical Finding.
- c) Departures from any other International Standard or other anti-doping rule or policy set forth in the WADA Code or these Regulations which did not cause an Adverse Analytical Finding or other anti-doping rule violation shall not invalidate such evidence or results. If the Player or other Person establishes a departure from another International Standard or other anti-doping rule or policy which could reasonably have caused an anti-doping rule violation based on an Adverse Analytical Finding or other anti-doping rule violation, FIFA shall have the burden to establish that such departure did not cause the Adverse Analytical Finding or the factual basis for the anti-doping rule violation.
- d) The facts established by a decision of a court or professional disciplinary tribunal of competent jurisdiction which is not the subject of a pending appeal shall be irrebuttable evidence against the Player or other Person to whom the decision pertained of those facts unless the Player or other Person establishes that the decision violated principles of natural justice.
- e) The hearing panel in a hearing on an anti-doping rule violation may draw an inference adverse to the Player or other Person who is asserted to have committed an anti-doping rule violation based on the Player's or other Person's refusal, after a request made in a reasonable time in advance of the hearing, to appear at the hearing (either in person or telephonically as directed by the hearing panel) and to answer questions from the hearing panel or FIFA.

## Section 4: Confidentiality and reporting

### 68 Information concerning potential anti-doping rule violations

1.

The Player or other Person shall be notified as provided in section 3 of chapter IX (Results management).

2.

The Anti-Doping Organisation that is responsible for managing the results shall notify the Player's Association, NADO, FIFA and WADA by no later than completion of the process described under arts 52 (Initial review regarding Adverse Analytical/Atypical Findings and notification), 54 (Review of Atypical Passport Findings and Adverse Passport Findings), 55 (Review of whereabouts failures) and 56 (Review of other anti-doping rule violations).

3.

Notification shall include: the Player's name, country, sport, club, the Player's competitive level, whether the test was In-Competition or Out-of-Competition, the date of Sample collection, and the analytical result reported by the laboratory.

4.

The same Persons and Anti-Doping Organisations shall be regularly updated on the status and findings of any review or proceedings conducted pursuant to section 3 of chapter IX (Results management), chapter VII (Provisional Suspension), sections 2 and 6 of chapter X (Fair hearing and Appeals) and shall be provided with a prompt written reasoned explanation or decision explaining the resolution of the matter.

5.

FIFA shall be notified in accordance with art. 37 (Notification) of the decision of the hearing panel pursuant to sections 2 and 6 of chapter X (Fair hearing and Appeals).

6.

The recipient organisations shall not disclose this information beyond those Persons with a need to know (which would include the appropriate personnel at the applicable National Olympic Committee, Association and club) until FIFA or the Association concerned, according to the results management responsibility, has made public disclosure or has failed to make public disclosure as required under art. 69 (Public disclosure).

**7.**

An Anti-Doping Organisation that declares, or that receives notice of, a whereabouts-related failure in respect of a Player shall not disclose that information beyond those Persons with a need to know unless and until that Player is found to have committed an anti-doping rule violation under art. 9 (Whereabouts failures) based on such whereabouts-related failure. Such Persons who need to know shall also maintain the confidentiality of such information until the same point.

## 69

### Public disclosure

**1.**

No Anti-Doping Organisation or WADA-accredited laboratory, or official of either, shall publicly comment on the specific facts of a pending case (as opposed to general description of process and science) except in response to public comments attributed to the Player, other Person or their representatives.

**2.**

Only after it has been determined in a hearing in accordance with section 2 of chapter X (Fair hearing) that an anti-doping rule violation has occurred, or after such hearing has been waived, or the assertion of an anti-doping rule violation has not been timely challenged, may FIFA or the Association concerned, depending on who has responsibility for managing the results, Publicly Report the disposition of the anti-doping matter including the anti-doping rule violated, the name of the Player or other Person committing the violation, the Prohibited Substance or Prohibited Method involved and the consequences imposed according to their communication policy. FIFA or the Association concerned may also Publicly Report appeal decisions concerning anti-doping rule violations and they may also send all hearing and appeal decisions to WADA.

**3.**

In any case where it is determined, after an appeal, that the Player or other Person did not commit an anti-doping rule violation, the decision may be disclosed publicly only with the consent of the Player or other Person who is the subject of the decision. FIFA or the Association shall Publicly Disclose the decision in its entirety or in such revised form as the Player or other Person may approve.

**4.**

For the purpose of this article, publication shall be accomplished at a minimum by placing the required information on the FIFA or the Association's website.

## 70 Information concerning whereabouts and Testing

### 1.

The current whereabouts information of Players who have been identified by FIFA for inclusion in its International Registered Testing Pool may be provided to WADA and to other Anti-Doping Organisations having jurisdiction to test the Player through ADAMS where reasonably feasible, as provided under the relevant article of the World Anti-Doping Code. This information shall be maintained in strict confidence at all times; shall be used exclusively for the purposes of planning, coordinating or conducting Testing; and shall be destroyed after it is no longer relevant for these purposes.

### 2.

FIFA may report all In-Competition and Out-of-Competition tests on Players from its International Registered Testing Pool to the WADA clearing house. This information will be made accessible to the Player, the Player's Association, National Olympic Committee, NADO, and the International Olympic Committee.

### 3.

FIFA shall, at least annually, publish a general statistical report of its Doping Control activities, with a copy provided to WADA.

## 71 Data protection

Handling of the personal information relating to Players or third parties that is collected, stored, processed or disclosed when performing the obligations under these Regulations has to comply with the applicable data protection and privacy laws and FIFA Data Protection Regulations, as well as the International Standard for the Protection and Privacy of Personal Information issued by WADA.

## Section 5: Recognition

# 72

### Application and recognition of decisions

#### 1.

FIFA and its Associations shall recognise and respect, subject to the right to appeal provided in these Regulations, Testing, hearing results or other final adjudications of any Signatory to the World Anti-Doping Code that are consistent with the World Anti-Doping Code and are within that Signatory's authority.

#### 2.

FIFA and its Associations shall recognise the measures taken by other bodies which have not accepted the Code if the rules of those bodies are otherwise consistent with these Regulations.

# 73

### Recognition by Associations and Confederations

#### 1.

Where Doping Controls have been carried out by FIFA, an Association or a Confederation in accordance with these Regulations, every Association and Confederation shall recognise the results of such Doping Controls.

#### 2.

Where decisions have been taken by FIFA or an Association regarding a breach of these Regulations, every Association and Confederation shall recognise such decisions and shall take all necessary action to render such decisions effective.

## Section 6: Appeals

# 74

## Decisions subject to appeal

Decisions made under these Regulations may be appealed as set forth below in arts 75 to 80 or as otherwise provided in these Regulations, the Code or International Standards. Such decisions shall remain in effect while under appeal unless the appellate body orders otherwise. Before an appeal is commenced, any post-decision review provided in the Anti-Doping Organisation's rules must be exhausted, provided that such review respects the principles set forth in art. 75 par. 2 (Appeals involving other Players or other Persons) (except as provided in art. 74 par. 3 (WADA not required to exhaust internal remedies)).

### 1.

#### Scope of review not limited

The scope of review on appeal includes all issues relevant to the matter and is expressly not limited to the issues or scope of review before the initial decision-maker.

### 2.

#### CAS shall not defer to the findings being appealed

In making its decision, CAS does not need to give deference to the discretion exercised by the body whose decision is being appealed.

### 3.

#### WADA not required to exhaust internal remedies

Where WADA has a right to appeal under arts 74 to 80 and no other party has appealed a final decision within FIFA's process, WADA may appeal such decision directly to CAS without having to exhaust other remedies in FIFA's process.

# 75

## Appeals against decisions regarding anti-doping rule violations, consequences, Provisional Suspensions, recognition of decisions and jurisdiction

A decision that an anti-doping rule violation was committed, a decision imposing consequences or not imposing consequences for an anti-doping rule violation, or a decision that no anti-doping rule violation was committed;

a decision that an anti-doping rule violation proceeding cannot go forward for procedural reasons (including, for example, prescription); a decision by WADA not to grant an exception to the six months' notice requirement for a retired Player to return to Competition under art. 58 (Retired Player returning to Competition); a decision by WADA assigning results management under art. 7.1 of the WADA Anti-Doping Code 2015; a decision by FIFA not to bring forward an Adverse Analytical Finding or an Atypical Finding as an anti-doping rule violation, or a decision not to go forward with an anti-doping rule violation after an investigation under these Regulations; a decision to impose a Provisional Suspension as a result of a Provisional Hearing; FIFA's failure to comply with chapter VII; a decision that FIFA lacks jurisdiction to rule on an alleged anti-doping rule violation or its consequences; a decision to suspend, or not suspend, a period of Ineligibility or to reinstate, or not reinstate, a suspended period of Ineligibility under art. 23 par. 1 (Substantial assistance in discovering or establishing anti-doping rule violations); a decision under art. 29 par. 3 (Violation of the prohibition of participation during Ineligibility); and a decision by FIFA not to recognise another Anti-Doping Organisation's decision under art. 72 (Application and recognition of decisions), may be appealed exclusively as provided in arts 75 to 80.

## **1.**

### **Appeals involving International-Level Players or International Competitions**

In cases arising from participation in an International Competition or in cases involving International-Level Players, a final decision within FIFA's, the Confederation's or the Association's process may be appealed exclusively to CAS.

## **2.**

### **Appeals involving other Players or other Persons**

In cases where art. 75 par. 1 (Appeals involving International-Level Players or International Competitions) is not applicable, the decision may be appealed to a national-level appeal body, being an independent and impartial body established in accordance with rules adopted by the National Anti-Doping Organisation having jurisdiction over the Player or other Person. The rules for such appeal shall respect the following principles: a timely hearing; a fair and impartial hearing panel; the right to be represented by counsel at the Player's or other Person's own expense; and a timely, written, reasoned decision. If the National Anti-Doping Organisation has not established such a body, the decision may be appealed to CAS in accordance with the provisions applicable before such court.

**3.****Persons entitled to appeal**

In cases under art. 75 par. 1 (Appeals involving International-Level Players or International Competitions), the following parties shall have the right to appeal to CAS: (a) the Player or other Person who is the subject of the decision being appealed; (b) the other party to the case in which the decision was rendered; (c) FIFA; (d) the National Anti-Doping Organisation of the Person's country of residence or countries where the Person is a national or licence holder; (e) the International Olympic Committee or International Paralympic Committee, as applicable, where the decision may have an effect in relation to the Olympic Games or Paralympic Games, including decisions affecting eligibility for the Olympic Games or Paralympic Games; and (f) WADA.

In cases under art. 75 par. 2 (Appeals involving other Players or other Persons), the parties having the right to appeal to the national-level appeal body shall be as provided in the National Anti-Doping Organisation's rules but, at a minimum, shall include the following parties: (a) the Player or other Person who is the subject of the decision being appealed; (b) the other party to the case in which the decision was rendered; (c) FIFA; (d) the National Anti-Doping Organisation of the Person's country of residence; (e) the International Olympic Committee or International Paralympic Committee, as applicable, where the decision may have an effect in relation to the Olympic Games or Paralympic Games, including decisions affecting eligibility for the Olympic Games or Paralympic Games; and (f) WADA. For cases under art. 75 par. 2 (Appeals involving other Players or other Persons), WADA, the International Olympic Committee, the International Paralympic Committee, and FIFA shall also have the right to appeal to CAS with respect to the decision of the national-level appeal body. Any party filing an appeal shall be entitled to assistance from CAS to obtain all relevant information from the Anti-Doping Organisation whose decision is being appealed and the information shall be provided if CAS so directs.

Notwithstanding any other provision herein, the only Person who may appeal a Provisional Suspension is the Player or other Person upon whom the Provisional Suspension is imposed.

**4.****Cross-appeals and other subsequent appeals allowed**

Cross-appeals and other subsequent appeals by any respondent named in cases brought to CAS under the Code are specifically permitted. Any party with a right to appeal under the Appeals section of these Regulations must file a cross-appeal or subsequent appeal with the party's answer at the latest.



## 76 Failure to render a timely decision

### 1.

Where, in a particular case, FIFA fails to render a decision with respect to whether an anti-doping rule violation was committed within a reasonable deadline set by WADA, WADA may elect to appeal directly to CAS as if FIFA had rendered a decision finding no anti-doping rule violation. If the CAS hearing panel determines that an anti-doping rule violation was committed and that WADA acted reasonably in electing to appeal directly to CAS, WADA's costs and attorney fees in prosecuting the appeal shall be reimbursed to WADA by FIFA.

### 2.

Where, in a particular case, a Member Association or Confederation fails to render a decision with respect to whether an anti-doping rule violation was committed within a reasonable deadline set by FIFA, FIFA may elect to appeal directly to CAS as if the Member Association or Confederation had rendered a decision finding no anti-doping rule violation. If the CAS hearing panel determines that an anti-doping rule violation was committed and that FIFA acted reasonably in electing to appeal directly to CAS, FIFA's costs and attorney fees in prosecuting the appeal shall be reimbursed to FIFA by a Member Association or Confederation.

## 77 Appeals relating to TUEs

TUE decisions may be appealed exclusively as provided in art. 18 (Therapeutic use exemptions (TUEs)) and art. 82 (Appeals against decisions granting or denying a therapeutic use exemption).

## 78 Notification of appeal decisions

Any Anti-Doping Organisation that is a party to an appeal shall promptly provide the appeal decision to the Player or other Person and to the other Anti-Doping Organisations that would have been entitled to appeal under art. 75 par. 3 (Persons entitled to appeal) as provided in these Regulations.

## **79** Appeal against decisions pursuant to article 83 (Sanctions and costs assessed against sporting bodies)

Decisions by FIFA pursuant to art. 83 (Sanctions and costs assessed against sporting bodies) may be appealed exclusively to CAS by a Member Association.

## **80** Time for filing appeals

### **1.**

#### **Appeals to CAS**

The time to file an appeal to CAS shall be 21 days from the date of receipt of the motivated decision in an official FIFA language by the appealing party. The above notwithstanding, the following shall apply in connection with appeals filed by a party entitled to appeal but which was not a party to the proceedings that led to the decision being appealed:

- a) Within 15 days from notice of the decision, such party/ies shall have the right to request a copy of the case file translated in an official FIFA language from the body that issued the decision;
- b) If such a request is made within the 15-day period, the party making such request shall have 21 days from receipt of the file to file an appeal to CAS.

#### **1.2 The above notwithstanding, the filing deadline for an appeal filed by WADA shall be the later of:**

- a) Twenty-one days after the last day on which any other party in the case could have appealed; or
- b) Twenty-one days after WADA's receipt of the complete file relating to the decision.

### **2.**

#### **Appeals under art. 75 par. 2 (Appeals involving other Players or other Persons)**

The time to file an appeal to an independent and impartial body established at national level in accordance with rules established by the National Anti-Doping Organisation shall be indicated by the same rules of the National Anti-Doping Organisation.

The above notwithstanding, the filing deadline for an appeal or intervention filed by WADA shall be the later of:

- a) Twenty-one days after the last day on which any other party in the case could have appealed, or
- b) Twenty-one days after WADA's receipt of the complete file relating to the decision.

**3.**

- a) Where FIFA appeals against a decision of an Association, Anti-Doping Organisation or Confederation to CAS under this chapter, the applicable law for the proceeding shall be the FIFA regulations, in particular the FIFA Statutes, the FIFA Anti-Doping Regulations and the FIFA Disciplinary Code.
- b) Where FIFA appeals against a decision of an Association, Anti-Doping Organisation or Confederation to CAS under this chapter, FIFA's time limits stipulated in art. 80 par. 1.1 shall start with the receipt of the relevant document(s) by the FIFA Anti-Doping Unit ([antidoping@fifa.org](mailto:antidoping@fifa.org)).

## 81

### FIFA not required to exhaust internal remedies

Where FIFA has a right to appeal under this chapter and no other party has appealed a final decision within the Anti-Doping Organisation's process, FIFA may appeal such a decision directly to CAS without having to exhaust other remedies in the Anti-Doping Organisation process.

## 82

### Appeals against decisions granting or denying a therapeutic use exemption

**1.**

WADA, at the request of a Player or on its own initiative, may review the granting or denial of any TUE by FIFA. Decisions by WADA reversing the granting or denial of a TUE may be appealed exclusively to CAS by the Player or FIFA.

**2.**

Decisions by FIFA, Associations or NADOs denying TUEs, which are not reversed by WADA, may be appealed by Players to CAS or to the national-level

reviewing body as described under these Regulations. If the national-level reviewing body reverses the decision to deny a TUE, that decision may be appealed to CAS by WADA.

**3.**

When FIFA, an Association or NADO fails to take action on a properly submitted application for a TUE within a reasonable time, this failure to decide may be considered a denial for the purpose of the appeal rights provided in this article.

## 83

### Sanctions and costs assessed against sporting bodies

**1.**

FIFA has the authority to withhold some or all funding or other non-financial support to Member Associations that are not in compliance with these Regulations.

**2.**

Member Associations shall be obligated to reimburse FIFA for all costs (including but not limited to laboratory fees, hearing expenses and travel) related to a violation of these Regulations committed by a Player or other Person affiliated with that Member Association.

## **84** Official languages

- 1.** These Regulations exist in the four official FIFA languages (English, French, Spanish and German).
- 2.** In case of any discrepancy in the interpretation of the English, French, Spanish or German texts of these Regulations, the English text will be authoritative.

## **85** Additional regulations

In addition, the provisions of the FIFA Disciplinary Code and all other FIFA Regulations shall apply.

## **86** Amendment to and interpretation of the Anti-Doping Regulations

- 1.** Matters not provided for in these Regulations and cases of force majeure shall be settled by the final decision of the relevant FIFA committee.
- 2.** These Regulations shall be implemented and construed according to Swiss law and the FIFA Statutes, FIFA Disciplinary Code and FIFA Regulations.
- 3.** These Regulations may be amended from time to time by FIFA.
- 4.** These Regulations shall be interpreted as an independent and autonomous text and not by reference to existing law or statutes.
- 5.** The headings used for the various parts and articles of these Regulations are for convenience only and shall not be deemed part of the substance of these Regulations or to affect in any way the language of the provisions to which they refer.

**6.**

These Regulations were adopted by the FIFA Council on 16 March 2018 and come into force on 1 May 2018 (the “Effective Date”). They shall not apply retroactively to matters pending before the Effective Date; provided, however, that:

- a) Anti-doping rule violations taking place prior to the Effective Date count as “first violations” or “second violations” for the purpose of determining sanctions under arts 6 to 15 for violations taking place after the Effective Date.
- b) The retroactive periods in which prior violations can be considered for the purpose of multiple violations under art. 24 par. 5 (Multiple anti-doping rule violations during ten-year period) and the statute of limitations set forth in art. 39 (Statute of limitations) are procedural rules and should be applied retroactively; provided, however, that art. 39 shall only be applied retroactively if the statute of limitations period has not already expired by the Effective Date. Otherwise, with respect to any anti-doping rule violation case which is pending as of the Effective Date and any anti-doping rule violation case brought after the Effective Date based on an anti-doping rule violation which occurred prior to the Effective Date, the case shall be governed by the substantive anti-doping rules in effect at the time the alleged anti-doping rule violation occurred unless the panel hearing the case determines the principle of *lex mitior* appropriately applies under the circumstances of the case.
- c) Any whereabouts failure under art. 9 (whether a filing failure or a missed test, as those terms are defined in the International Standard for Testing and Investigations) prior to the Effective Date shall be carried forward and may be relied upon, prior to expiry, in accordance with the International Standard for Testing and Investigation, but it shall be deemed to have expired 12 months after it occurred.
- d) With respect to cases where a final decision finding an anti-doping rule violation has been rendered prior to the Effective Date, but the Player or other Person is still serving the period of Ineligibility as of the Effective Date, the Player or other Person may apply to the Anti-Doping

Organisation which had results management responsibility for the anti-doping rule violation to consider a reduction in the period of Ineligibility in light of these Regulations. Such application must be made before the period of Ineligibility has expired. The decision rendered may be appealed pursuant to art. 75 (Appeals against decisions regarding anti-doping rule violations, consequences, Provisional Suspensions, recognition of decisions and jurisdiction). These Regulations shall have no application to any case where a final decision finding an anti-doping rule violation has been rendered and the period of Ineligibility has expired.

- e) For the purpose of assessing the period of Ineligibility for a second violation under art. 24 par. 1 (Multiple violations), where the sanction for the first violation was determined based on rules in force prior to the Effective Date, the period of Ineligibility which would have been assessed for that first violation had these Regulations been applicable, shall be applied.

**7.**

Subject always to these Regulations, anti-doping rule violations committed under rules in force prior to the Effective Date shall be taken into account as prior offences for purposes of determining sanctions with respect to multiple sanctions.

Zurich, March 2018

For the FIFA Council

President:  
Gianni Infantino

Secretary General:  
Fatma Samoura

Reference is made to the Prohibited List published by WADA, which is available on [www.wada-ama.org](http://www.wada-ama.org).



**1.**

An application for a TUE will be reviewed by the FIFA Medical Committee represented by the FIFA TUE Advisory Group.

**2.**

A Player may be granted a TUE (if and only if) he can show that he has met each of the following conditions, which may be revised by the FIFA TUE Advisory Group in compliance with the International Standard for Therapeutic Use Exemptions and will be published in the FIFA TUE policy:

- a) The Player shall submit an application for a TUE within the time limit stipulated in the published FIFA TUE policy in force.
- b) The Prohibited Substance or Prohibited Method in question is needed to treat an acute or chronic medical condition such that the Player would experience a significant impairment to health if the Prohibited Substance or Prohibited Method were to be withheld.
- c) The therapeutic use of the Prohibited Substance or Prohibited Method is highly unlikely to produce any additional enhancement of performance beyond what might be anticipated by a return to the Player's normal state of health following the treatment of the acute or chronic medical condition.
- d) There is no reasonable therapeutic alternative to the Use of the Prohibited Substance or Prohibited Method.
- e) The necessity for the Use of the Prohibited Substance or Prohibited Method is not a consequence, wholly or in part, of the prior Use (without a TUE) of a substance or method which was prohibited at the time of such Use.

**3.**

The TUE will be cancelled by the FIFA TUE Advisory Group if:

- a) the Player does not promptly comply with any requirements or conditions imposed by the FIFA TUE Advisory Group;
- b) the term for which the TUE was granted has expired;
- c) the Player is advised that the TUE has been withdrawn by the FIFA TUE Advisory Group; or
- d) a decision granting a TUE has been reversed by WADA or CAS.

**4.**

An application for a TUE will not be considered for retroactive approval, except in cases where:

- a) emergency treatment or treatment of an acute medical condition was necessary; or
- b) due to exceptional circumstances, there was insufficient time or opportunity for an applicant to submit, or the FIFA TUE Advisory Group to consider, an application prior to Doping Control.

**5.****Confidentiality of information**

- a) The collection, storage, processing, disclosure and retention of personal information by FIFA in the TUE process complies with the International Standard for the Protection of Privacy and Personal Information.
- b) A Player applying for a TUE shall provide written consent for the transmission of all information pertaining to the application to members of all therapeutic use exemption committees with authority under the World Anti-Doping Code to review the file and, as required, other independent medical or scientific experts, and to all necessary staff involved in the management, review or appeal of TUEs, and WADA. In accordance with the provisions of the World Anti-Doping Code, the applicant shall also provide written consent for the decision of the FIFA TUE Advisory Group to be distributed to other relevant Anti-Doping Organisations and Member Associations.
- c) Should the assistance of external, independent experts be required, all details of the application shall be circulated without identifying the Player concerned.
- d) The members of the FIFA TUE Advisory Group, all independent experts and the staff of the FIFA Medical Office and Anti-Doping Unit involved will conduct all of their activities in strict confidence and will sign confidentiality agreements. In particular, they shall keep the following information confidential:
  - i. All medical information and data provided by the Player and doctor(s) involved in the Player's care.
  - ii. All details of the application, including the name of the doctor(s) involved in the process.

- e) Should the Player wish to revoke the right of the FIFA TUE Advisory Group or any therapeutic use exemption committee to obtain any health information on his behalf, the Player must notify his medical practitioner in writing of the fact. As a consequence of such a decision, the Player will not receive approval for a TUE or renewal of an existing TUE.

**6.**

Where the Player already has a TUE granted by his or her NADO for the substance or method in question, if that TUE meets the criteria set out in the International Standard for Therapeutic Use Exemptions, FIFA shall recognise it. If FIFA considers that the TUE does not meet those criteria and so refuses to recognise it, it must notify the Player and his or her NADO promptly, with reasons. The Player or the NADO shall have 21 days from such notification to refer the matter to WADA for review. If the matter is referred to WADA for review, the TUE granted by the NADO remains valid for National Competition and Out-of-Competition Testing (but is not valid for International Competition) pending WADA's decision. If the matter is not referred to WADA for review, the TUE becomes invalid for any purpose when the 21-day review deadline expires.

**7.**

If FIFA grants the Player's application, it shall notify not only the Player but also his or her NADO, and if the NADO considers that the TUE does not meet the criteria set out in the International Standard for Therapeutic Use Exemptions, it has 21 days from such notification to refer the matter to WADA for review. If the NADO refers the matter to WADA for review, the TUE granted by FIFA remains valid for International Competition and Out-of-Competition Testing (but is not valid for National Competition) pending WADA's decision. If the NADO does not refer the matter to WADA for review, the TUE granted by FIFA becomes valid for National Competition as well when the 21-day review deadline expires.

# 1 Registered Testing Pool

## 1.

FIFA shall establish a Registered Testing Pool at international level. The responsibility for setting up a Registered Testing Pool at national level rests with the NADO/Association concerned.

## 2.

The FIFA Registered Testing Pool (RTP) comprises three different categories of pool with specific whereabouts requirements:

- a) The FIFA international Registered Testing Pool (IRTP) includes individual International-Level Players who are Ineligible following a decision by a FIFA body or who are categorised as being high-risk Players or who have been designated by the FIFA Anti-Doping Unit for any other reason. Those Players will be designated individually by the FIFA Anti-Doping Unit and notified via the Association concerned. An explanation for the designation is not required.
- b) The elite testing pool (ETP) includes the clubs/representative teams participating at an elite Confederation level to be defined by the Confederations. The Testing and results management of this testing pool is delegated to the Confederation concerned. Consequently, the Confederation's anti-doping regulations are applicable in respect of the ETP, rather than arts 2 to 9 of this annexe.
- c) The FIFA pre-Competition testing pool (PCTP) includes the representative teams participating in the Competition(s) selected by FIFA during the six-month preparation phase prior to that (those) Competition(s). The relevant representative teams will be informed of their selection.

## 3.

Each Association concerned must inform the Players who have been designated by FIFA for inclusion in the IRTP and the clubs and representative teams that have been included in the ETP or PCTP immediately in writing of:

- a) their inclusion in the IRTP, ETP or PCTP (as applicable);
- b) the consequent requirement to file accurate and complete whereabouts information; and
- c) the consequences of any failure to comply with that requirement.

Each Association concerned is required to ensure that its respective Players or teams file complete and accurate whereabouts information as set forth in these Regulations.

#### **4.**

Players who have announced their retirement and are no longer in the IRTP or ETP may not resume competing unless they:

- a) notify the Association concerned at least six months before they expect to return to competition;
- b) fulfil the same whereabouts requirements as Players in the IRTP or ETP; and
- c) are available for no-advance-notice Out-of-Competition Testing at any time during the period before their actual return to competition.

#### **5.**

Players who are serving a period of Ineligibility will remain in the relevant testing pool until the end of the period of Ineligibility, unless they are designated for inclusion in the IRTP.

#### **6.**

Players who are injured and unable to play will remain in the relevant testing pool and might be subject to Target Testing, unless they are designated for inclusion in the IRTP.

#### **7.**

FIFA shall periodically review and update as necessary its criteria for including Players, clubs and representative teams in the testing pools. The Players (in the case of the IRTP), clubs and teams (in the case of the ETP and PCTP) concerned must be informed of any changes that are made via their Association or Confederation respectively.

## **2** Filing obligations

### **1.**

Each Player (IRTP) or representative team (PCTP) that is included in the respective testing pool is required to file accurate and complete whereabouts information in the manner set forth under art. 3 of this annexe.

**2.**

A Player of a representative team in the PCTP may delegate the task of making some or all of the whereabouts filings required herein under art. 3 of this annexe to his Association, to be carried out e.g. by a coach or manager. It is assumed that a valid delegation has been made for all of the relevant whereabouts filings, unless otherwise determined by the Player or as stipulated under par. 3 of this article. It shall not be a defence to an allegation of a filing failure that the Player delegated such responsibility to a third party and/or that third party failed to comply with the applicable requirements.

**3.**

A Player of a representative team in the PCTP who is serving a period of Ineligibility or who is injured or has given notice of retirement has to provide his whereabouts information directly to the Association concerned for the time he still remains in the PCTP.

## **3** Whereabouts requirements

**1.****a) IRTP:**

Using the form provided by FIFA, each Player has to file his whereabouts information with the Association concerned for the remaining days of the current quarter within ten days of receiving notification of his designation, and afterwards for all days on a quarterly basis by 25 December, 25 March, 25 June and 25 September. The Association has to submit the quarterly reports and their updates to the FIFA Anti-Doping Unit by 30 December, 30 March, 30 June and 30 September at the latest. Furthermore, each Player has to inform the FIFA Anti-Doping Unit in writing of the end of his period of Ineligibility or rehabilitation as soon as this can be determined.

**b) PCTP:**

Using the form provided by FIFA, each Association concerned has to file whereabouts information for all of the Team Activity days of its representative team during the six-month period prior to the designated Competition.

**2.**

At a minimum, the following information shall be provided:

**IRTP:**

- a) name of the Player and the relevant team;
- b) full mailing address, electronic mail address and telefax number for formal notice purposes;
- c) specific confirmation of the Player's consent to the sharing of his whereabouts filing with other Anti-Doping Organisations having authority to test him;
- d) for each day during the respective period, the full address of the place where the Player will be residing (e.g. home, temporary lodgings, hotel, etc.);
- e) for each day during the respective period, the time(s) each day of any regular activity, along with the venue and any other details required in order for the Player to be located during the time(s) in question; and
- f) for each day during the respective period, one specific 60-minute time slot between 06:00 and 23:00 where the Player will be available and accessible for Testing at a specific location.

**PCTP:**

- a) name of the relevant representative team;
- b) full mailing address, electronic mail address and telefax number for formal notice purposes;
- c) specific confirmation of the Players' consent to the sharing of their whereabouts filing with other Anti-Doping Organisations having authority to test them;
- d) for each day of any Team Activity during the respective period in question, the full address of the place where the team will be residing (e.g. temporary lodgings, hotel, etc.);

- e) the team's Competition schedule for the respective period in question, including the name and address of each location where the team is scheduled to compete during this time and the date(s) on which it is scheduled to compete at such locations; and
- f) for each day of any Team Activity during the respective period in question, the time(s) of any collective activity (e.g. training) or individual activity under the supervision of the team (e.g. medical treatment), and other regular activities, if applicable, along with the venue and any other details required in order for the team to be located during the time(s) in question.

### 3.

IRTP: It is the Player's responsibility to ensure that all of the information provided in his whereabouts filing is accurate and sufficiently detailed to enable the FIFA Anti-Doping Unit to locate him for Testing on any given day during the respective period, including but not limited to the 60-minute time slot specified for that day in his whereabouts filing.

PCTP: It is the Association's responsibility to ensure that all whereabouts information provided in its whereabouts filing is accurate and sufficiently detailed to enable the FIFA Anti-Doping Unit to locate the representative team for Testing on each day of any Team Activity during the respective period.

### 4.

Where any change in circumstances means that the information previously provided by the Player/team is no longer accurate or complete, the whereabouts filing must be updated so that the information on file is again accurate and complete.

Such update must be made as soon as possible, and, in the case of the IRTP, in any event prior to the 60-minute time slot specified in the filing for that day. Failure to do so shall have the consequences set forth below.

## 4 Availability for Testing

### 1.

A Player in the IRTP must specifically be present and available for Testing on any given day in the relevant period for the 60-minute time slot specified for that day in his whereabouts filing, at the location he has specified for that time slot in such filing.



**2.**

A representative team in the PCTP must be present and available for Testing on any given Team Activity day in the relevant period at the time and location it has specified for the Team Activity in its whereabouts filing. If located for Testing, the whole team must remain until the Sample collection has been completed.

## 5

### Liability for filing failure or missed tests

**1.**

Each Player in the IRTP remains ultimately responsible at all times for providing accurate and complete whereabouts filings as required in these Regulations.

**2.**

Each Player in the IRTP is responsible for ensuring his availability for Testing at the given location during the 60-minute time slot specified for that day in his whereabouts filing. If an attempt to test the Player during the 60-minute time slot is unsuccessful, the Player will be liable for a missed test under art. 9 (Whereabouts failures) of the FIFA Anti-Doping Regulations, subject to the requirements set forth under art. 8 par. 2 of this annexe.

**3.**

If any of the required information changes after a whereabouts filing is made, then, in accordance with the provisions set forth under art. 3 par. 4 of this annexe, an update must be filed so that the whereabouts filing remains accurate at all times. If an update is not filed, and as a result an attempt to test the Player during the 60-minute time slot is unsuccessful, the Player will be liable for a missed test under art. 9 (Whereabouts failures) of the FIFA Anti-Doping Regulations, subject to the requirements set forth under art. 8 par. 2 of this annexe.

**4.**

Each Association of a representative team in the PCTP is responsible for providing accurate and complete whereabouts filings as required in these Regulations and for ensuring that its representative team is available for Testing at the time and location specified for Team Activity in its whereabouts filing. If an Association fails to comply with the applicable whereabouts requirements, the Association is liable for sanction under the FIFA Disciplinary Code for such failure.

## 6 Anti-doping rule violation

### 1.

A Player in the IRTP shall be deemed to have committed an anti-doping rule violation under art. 9 (Whereabouts failures) of the FIFA Anti-Doping Regulations if he commits a total of three whereabouts failures (which may be any combination of filing failures and/or missed tests adding up to three in total) within any 12-month period, irrespective of which Anti-Doping Organisation(s) has/have declared the whereabouts failures in question.

### 2.

The 12-month period begins on the date that a Player commits a whereabouts failure. It is not affected by any successful Sample collection conducted with respect to the Player during the 12-month period. However, if a Player who has committed one whereabouts failure does not commit a further two whereabouts failures within 12 months of the first one, at the end of that 12-month period the first whereabouts failure “expires” for the purposes set forth under art. 8 of this annexe.

### 3.

Where a Player retires from but then returns to competition, his period of non-availability for Out-of-Competition Testing shall be disregarded for the purposes of calculating the 12-month period.

### 4.

Any Player who provides fraudulent information in his whereabouts filing, whether in relation to his location during the specified daily 60-minute time slot, or in relation to his whereabouts outside that time slot, or otherwise, thereby commits an anti-doping rule violation under art. 8 (Evading, refusing or failing to submit to Sample collection) and art. 10 (Tampering or attempted Tampering with any part of Doping Control) of the FIFA Anti-Doping Regulations. Sanctions may be imposed by the FIFA Disciplinary Committee.

### 5.

If an Association fails to provide FIFA with accurate and complete whereabouts information for a Player who is registered with that Association’s representative team, it shall be subject to an evaluation by the FIFA Anti-Doping Unit for a filing failure. The provisions set forth under art. 7 of this annexe shall apply by analogy. If, as a result of such an evaluation, the FIFA Anti-Doping Unit concludes that the Player/Association has failed its obligation to provide whereabouts information, the FIFA Anti-Doping Unit shall notify

the Association accordingly and submit the case to the FIFA Disciplinary Committee, which shall decide appropriate sanctions in compliance with the FIFA Disciplinary Code.

## **7** Results management in respect of a filing failure

The results management process in respect of an apparent filing failure shall be as follows:

### **1.**

A Player may only be declared to have committed a filing failure where the FIFA Anti-Doping Unit, following the results management procedure set forth below, can establish each of the following:

- a) that the Player was duly notified:
  - i. that he had been designated for inclusion in the IRTP;
  - ii. of the consequent requirement to make accurate and complete whereabouts filings; and
  - iii. of the consequences of any failure to comply with that requirement.
- b) that he failed to comply with that requirement by the applicable deadline;
- c) that, in case of a second or third filing failure in the same quarter, he was given notice of the previous filing failure in accordance with the provision set forth under art. 7 par. 2 of this annexe and failed to rectify that filing failure by the deadline specified in that notice; and
- d) that his failure to comply was at least negligent. For these purposes, the Player will be presumed to have committed the failure negligently upon proof that he was notified of the requirement yet failed to comply with it. That presumption may only be rebutted by the Player establishing that no negligent behaviour on his part caused or contributed to the failure.

### **2.**

If it appears that all of the requirements set forth under art. 7 par. 1 of this annexe have been met, then, no later than 14 days after the date of discovery of the apparent filing failure, the FIFA Anti-Doping Unit must send notice to the Player concerned in the manner set forth in chapter X section 1 (General provisions), inviting a response within 14 days of receipt of the notice. In the notice, the FIFA Anti-Doping Unit should inform the Player:

- a) that, in order to avoid a further filing failure, he must make the required whereabouts filing within a deadline set by the FIFA Anti-Doping Unit; the deadline shall be set at least 24 hours after receipt of the notice and no later than the end of the month in which the notice is received;
- b) that, unless the Player persuades the FIFA Anti-Doping Unit that there has not been any filing failure, an alleged whereabouts failure will be recorded against the Player;
- c) whether any other whereabouts failures have been alleged against the Player in the 12-month period prior to this alleged whereabouts failure; and
- d) of the consequences to the Player if a hearing panel upholds the alleged whereabouts failure.

**3.**

Where the Player disputes the apparent filing failure, the FIFA Anti-Doping Unit must reassess whether all of the requirements set forth under par. 1 of this article have been met. The FIFA Anti-Doping Unit must advise the Player, by letter sent no later than 14 days after receipt of the Player's response, whether or not it maintains that there has been a filing failure.

**4.**

If no response is received from the Player by the relevant deadline, or if the FIFA Anti-Doping Unit maintains that there has been a filing failure, the FIFA Anti-Doping Unit shall send notice to the Player that an alleged filing failure is to be recorded against him. The FIFA Anti-Doping Unit shall at the same time advise the Player that he has the right to an administrative review of that decision.

**5.**

Where requested by the Player, such administrative review shall be conducted by a designee of the FIFA Anti-Doping Unit who was not involved in the previous assessment of the alleged filing failure. The review shall be based on written submissions only, and shall consider whether all of the requirements set forth herein under par. 1 of this article have been met. The review shall be completed within 14 days of receipt of the Player's request and the decision shall be communicated to the Player by letter sent no more than seven days after the decision is made.

**6.**

If it appears, upon such review, that the requirements set forth under par. 1 of this article have not been met, the alleged filing failure shall not be treated as a whereabouts failure for any purpose. The Player has to be notified accordingly.

**7.**

If the Player does not request an administrative review of the alleged filing failure by the relevant deadline, or if the administrative review leads to the conclusion that all of the requirements set forth under par. 1 of this article have been met, the FIFA Anti-Doping Unit shall record an alleged filing failure against the Player and shall notify the Player, WADA and all other relevant Anti-Doping Organisations of that alleged filing failure and the date of its occurrence in the manner set forth under art. 68 par. 7 of the FIFA Anti-Doping Regulations.

**8.**

Any notice sent to a Player pursuant to this article, informing him of the decision that there has been no filing failure, shall also be sent to WADA and any other party/ies with a right of appeal under chapter X of the FIFA Anti-Doping Regulations. This decision may be appealed by WADA and/or such party/ies in accordance with that chapter.

## **8**

### **Results management in respect of a missed test**

The results management process in the case of an apparent missed test shall be as follows:

**1.**

The FIFA Doping Control Officer shall file a report on any unsuccessful attempt with the FIFA Anti-Doping Unit, setting out the details of the attempted Sample collection, including the date of the attempt, the location visited, the exact arrival and departure times at the location, the step(s) taken at the location to try to find the Player, including details of any contact made with third parties, and any other relevant details about the attempted Sample collection.

**2.**

A Player may only be declared to have missed a test where the FIFA Anti-Doping Unit can establish each of the following:

- a) that when the Player was given notice that he had been included in the IRTP, he was advised of his liability for a missed test if he was unavailable for Testing during the 60-minute time slot specified in his whereabouts filing at the location specified for that time slot;
- b) that a FIFA Doping Control Officer attempted to test the Player on a given day in the quarter, during the 60-minute time slot specified in the Player's whereabouts filing for that day, by visiting the location specified for that time slot;
- c) that during that specified 60-minute time slot, the FIFA Doping Control Officer did all that was reasonable in the circumstances to try to locate the Player, short of giving the Player any advance notice of the test;
- d) that the provisions set forth herein under par. 3 of this article have been met, if applicable; and
- e) that the Player's failure to be available for Testing at the specified location during the specified 60-minute time slot was at least negligent. For these purposes, the Player will be presumed to have been negligent upon proof of the matters set forth under this paragraph. That presumption may only be rebutted by the Player establishing that no negligent behaviour on his part caused or contributed to him:
  - being unavailable for Testing at such location during such time slot; and
  - failing to update his most recent whereabouts filing to give notice of a different location where he would instead be available for Testing during a specified 60-minute time slot on the relevant day.

### 3.

To ensure fairness to the Player, where an unsuccessful attempt has been made to test a Player during one of the 60-minute time slots specified in his whereabouts filing, any subsequent attempt to test that Player may only be counted as a missed test against that Player if that subsequent attempt takes place after the Player has received notice, in accordance with par. 4 of this article, of the original unsuccessful attempt.

### 4.

If it appears that all of the requirements set forth under par. 2 of this article have been met, then, no later than 14 days after the date of the unsuccessful attempt, the FIFA Anti-Doping Unit must send notice to the Player of the

unsuccessful attempt in the manner set forth in chapter X section 1 (General provisions) of the FIFA Anti-Doping Regulations, inviting a response within 14 days of receipt of the notice. In the notice, the FIFA Anti-Doping Unit should inform the Player:

- a) that, unless the Player persuades the FIFA Anti-Doping Unit that there has not been any missed test, an alleged missed test will be recorded against the Player;
- b) whether any other whereabouts failures have been declared against him in the 12-month period prior to this alleged missed test; and
- c) of the consequences to the Player if a hearing panel upholds the alleged missed test.

#### **5.**

Where the Player disputes the apparent missed test, the FIFA Anti-Doping Unit must reassess whether all of the requirements set forth under par. 2 of this article have been met. The FIFA Anti-Doping Unit must advise the Player, by letter sent no later than 14 days after receipt of the Player's response, whether or not it maintains that there has been a missed test.

#### **6.**

If no response is received from the Player by the relevant deadline, or if the FIFA Anti-Doping Unit maintains that there has been a missed test, the FIFA Anti-Doping Unit shall send notice to the Player that an alleged missed test is to be recorded against him. The FIFA Anti-Doping Unit shall at the same time advise the Player that he has the right to request an administrative review of the alleged missed test. The report on the unsuccessful attempt must be provided to the Player at this point if it has not been provided earlier in the process.

#### **7.**

Where requested, such administrative review shall be conducted by a designee of the FIFA Anti-Doping Unit who was not involved in the previous assessment of the alleged missed test. The review shall be based on written submissions only, and shall consider whether all of the requirements set forth under par. 2 of this article have been met. If necessary, the relevant FIFA Doping Control Officer may be asked to provide further information to the designee. The review shall be completed within 14 days of receipt of the Player's request and the decision shall be communicated to the Player by letter sent no more than seven days after the decision is made.

**8.**

If it appears, upon such review, that the requirements set forth under par. 2 of this article have not been met, the unsuccessful attempt to test the Player shall not be treated as a missed test for any purpose. The Player has to be notified accordingly.

**9.**

If the Player does not request an administrative review of the alleged missed test by the relevant deadline, or if the administrative review leads to the conclusion that all of the requirements set forth under par. 2 of this article have been met, the FIFA Anti-Doping Unit shall record an alleged missed test against the Player and shall notify the Player and WADA and all other relevant Anti-Doping Organisations of that alleged missed test and the date of its occurrence in the manner set forth under art. 68 par. 7 (Information concerning potential anti-doping rule violations) of the FIFA Anti-Doping Regulations.

**10.**

Any notice sent to a Player pursuant to this article, agreeing that there has been no missed test, shall also be sent to WADA and any other party/ies with a right of appeal under chapter X (Procedural rules) of the FIFA Anti-Doping Regulations, and may be appealed by WADA and/or such party/ies in accordance with that chapter.

## 9

### Responsibility for conducting proceedings

**1.**

The FIFA Anti-Doping Unit shall keep a record of all whereabouts failures alleged in respect of each Player in its IRTP. Where it is alleged that such a Player has committed three whereabouts failures within any 12-month period, the responsibility for bringing proceedings against the Player under art. 9 (Whereabouts failures) of the FIFA Anti-Doping Regulations shall be as follows:

- a) FIFA shall be responsible if two or more of those whereabouts failures were alleged by FIFA or, if the whereabouts failures were alleged by three different Anti-Doping Organisations, the Player concerned was included in the IRTP as of the date of the third whereabouts failure;
- b) the Association or NADO concerned shall be responsible if two or more of those whereabouts failures were alleged by it or, if the whereabouts



failures were alleged by three different Anti-Doping Organisations, the Player concerned was included in the national Registered Testing Pool as of the date of the third whereabouts failure. In this case, references to FIFA or the FIFA Disciplinary Committee shall, where appropriate, be understood as meaning the Association/NADO or the relevant hearing panel.

**2.**

FIFA shall have the right to receive such further information about that alleged whereabouts failure from any other Anti-Doping Organisation in order to assess the strength of the evidence of such alleged whereabouts failure and to bring proceedings under art. 9 (Whereabouts failures) of the FIFA Anti-Doping Regulations in reliance thereon. If FIFA decides in good faith that the evidence in relation to such alleged whereabouts failure(s) is insufficient to support such proceedings under art. 9 of the FIFA Anti-Doping Regulations, it may decline to bring proceedings based on such alleged whereabouts failure(s). Any decision by the Anti-Doping Organisation responsible that a declared whereabouts failure should be disregarded for lack of sufficient evidence shall be communicated to the other Anti-Doping Organisations and to WADA, shall be without prejudice to WADA's right of appeal under chapter X (Procedural rules) of the FIFA Anti-Doping Regulations, and in any event shall not affect the validity of the other whereabouts failures alleged against the Player in question.

**3.**

FIFA should also consider in good faith whether or not a Provisional Suspension should be imposed on the Player pending determination of the proceedings, in accordance with chapter VII (Provisional Suspension) of the FIFA Anti-Doping Regulations.

**4.**

A Player alleged to have committed an anti-doping rule violation under art. 9 (Whereabouts failures) of the FIFA Anti-Doping Regulations shall have the right to have such allegation determined at a full evidentiary hearing in accordance with chapter X, section 2 (Fair hearing) of the FIFA Anti-Doping Regulations.

**5.**

The FIFA Disciplinary Committee shall not be bound by any determination made during the results management process, whether as to the adequacy of any explanation offered for a whereabouts failure or otherwise. Instead, the burden shall be on the Anti-Doping Organisation responsible for bringing the proceedings to establish all of the requisite elements of each alleged whereabouts failure.

**6.**

If the FIFA Disciplinary Committee decides that one or two alleged whereabouts failures have been established to the required standard, but that the third alleged whereabouts failure has not, no violation of art. 9 (Whereabouts failures) of the FIFA Anti-Doping Regulations shall be found to have occurred. However, if the Player then commits one or two further whereabouts failures within the relevant 12-month period, new proceedings may be brought based on a combination of the whereabouts failure(s) established to the satisfaction of the hearing panel in the previous proceedings (in accordance with art. 68 par. 3 (Information concerning potential anti-doping rule violations) of the FIFA Anti-Doping Regulations) and the whereabouts failure(s) subsequently committed by the Player.

**7.**

Where FIFA fails to bring proceedings against a Player under art. 9 (Whereabouts failures) of the FIFA Anti-Doping Regulations within 30 days of WADA receiving notice of that Player's third alleged whereabouts failure in any 12-month period, it shall be deemed that FIFA has decided that no anti-doping rule violation was committed, for the purposes of triggering the appeal rights set forth in chapter X (Procedural rules) of the FIFA Anti-Doping Regulations.

# 1 Necessary information

## 1.

At all FIFA Doping Controls, the FIFA Doping Control Officer shall ensure that the Player is informed that:

- a) the Sample collection is to be conducted under FIFA authority;
- b) he is required to undergo Sample collection;
- c) failure to comply may involve consequences;
- d) should the Player choose to consume any provided or his own food or fluids (non-alcoholic drinks) prior to providing a Sample, it is entirely at his own responsibility;
- e) the Sample provided by the Player to the FIFA Doping Control Officer shall be the first urine passed by the Player subsequent to the summons to Doping Control.

All Players shall be accompanied by an official team representative at all times, preferably the team doctor.

## 2.

The process set-out in this Annexe may be adapted by FIFA to fit the particular needs of each specific Competition and football discipline.

# 2 Procedure for In-Competition tests

## 1.

In principle, two players from each team are selected for testing either via random or target methods by the FIFA Anti-Doping Unit. Additional players may be summoned for sample collection (in accordance with art. 4 par. 7 of this annexe). In the case of Competitions with lower Player numbers, e.g. beach soccer or futsal, in principle one Player per team may be tested.

### Preparing for the Sample collection session

## 2.

The FIFA Doping Control Officer shall obtain the official Players' lists for both teams from the FIFA Match Commissioner or the FIFA General Coordinator before the Match.

**3.**

If a draw is to be conducted, the FIFA Doping Control Officer informs the team representatives of when and where it will take place should they wish to attend. The draw usually takes place in the Doping Control room 15 minutes<sup>1</sup> before the end of the match. In the case of Competitions with shorter matches, e.g. beach soccer or futsal, the draw usually takes place 10 minutes before the end of the match. The absence, for whatever reason, of a team representative(s) will not affect the validity of the draw or the testing process

**4.**

The two Players from each team shall undergo a doping test. However, if either of these two Players is injured before the Match is over, the FIFA Doping Control Officer shall decide whether or not the injury is severe enough to prevent the Player from undergoing a doping test. If he decides the injury is severe enough, the FIFA Doping Control Officer shall perform a draw in order to replace the injured player for the doping test.

**5.**

In addition, the FIFA Doping Control Officer is entitled to appoint additional Players to be tested at any time prior to, during or after the Match. An explanation for the appointment is not required.

**Notification of Players****6.**

Fifteen minutes before the end of the match the FIFA Doping Control Officer shall then indicate on the Doping Control form (DCF) the name and number of the Player and sign the form himself and have the representative of the team sign it. The FIFA Doping Control Officer shall then hand the relevant copies of the form to the representative of each team. The green copies of the DCF shall be handed over to the FIFA Match Commissioner or the FIFA General Coordinator who is sitting at the touchline.

**7.**

If a Player is shown the red card at any time of the Match, the FIFA Doping Control Officer shall decide whether the Player is to be escorted by the Chaperones to the Doping Control room, his team's changing room or the area of the stand allocated to his team to watch the Match from there until the names of the Players selected for the doping test are known, so that he is available to undergo the test immediately after the Match, if necessary. The Player may propose to voluntarily provide a Sample in order to be released after the procedure. However the FIFA Doping Control Officer may accept or decline the Player's proposal without giving any justification.

<sup>1</sup> In the case of futsal matches, the FIFA Doping Control Officer shall open envelopes 1 and 2 for each team in the doping control room in the presence of a representative from each team ten minutes after the start of the second half.

## **3** Procedure for Out-of-Competition no-advance-notice tests during team activities

### Preparing for the Sample collection session

#### **1.**

FIFA or the respective Confederation conducts no-advance-notice doping tests based on the whereabouts of teams in the elite testing pool (ETP) and pre-Competition testing pool (PCTP). In accordance with the test distribution plan, the FIFA Anti-Doping Unit selects teams for Testing. The FIFA Anti-Doping Unit then identifies the date(s) of the respective test(s) and seeks to establish the location of the selected team by referring to the team whereabouts information provided. The FIFA Anti-Doping Unit plans the approach accordingly and assigns a FIFA Doping Control Officer available at the respective location(s) on the respective date(s) to conduct the no-advance-notice test, and inform him of the whereabouts of the team on the respective date(s).

#### **2.**

If the team cannot be contacted by the FIFA Doping Control Officer after reasonable attempts have been made using the whereabouts information provided, the matter shall be reported to the FIFA Anti-Doping Unit as soon as possible, as set forth in Annexe C. The FIFA Anti-Doping Unit shall then proceed to evaluate whether there has been a whereabouts filing failure in accordance with Annexe C.

#### **3.**

If the FIFA Doping Control Officer has located the team, he shall identify himself to the head or deputy head of delegation of the relevant team or club by presenting his authorisation as a FIFA Doping Control Officer and the assignment for the respective control, and discuss the procedure for the doping test with him, the team doctor and, if applicable, the coach.

#### **4.**

The head of delegation of the relevant team or club shall give the FIFA Doping Control Officer an up-to-date list of the Players in the team, including any who are absent at the time the doping test is undertaken. The reasons for any such absences shall be given to the FIFA Doping Control Officer, as well as the scheduled time of arrival at or return to the location of the Team Activities for these Players. The FIFA Doping Control Officer shall decide whether these Players are to be included in the draw procedure for Players having to undergo a doping test. He shall further notify the FIFA Anti-Doping Unit, who shall proceed to evaluate whether there has been a whereabouts filing failure in accordance with Annexe C.

**5.**

Players to undergo sample collection are either drawn by the FIFA Doping Control Officer or targeted by the FIFA Anti-Doping Unit. The team representatives may be present at a draw. The absence, for whatever reason, of a team representative will not affect the validity of the draw or the testing process

**Notification of Players****6.**

The FIFA Doping Control Officer and the team official/team doctor present shall sign the DCF. The FIFA Doping Control Officer shall notify the Player. The FIFA Doping Control Officer shall:

- a) identify himself to the Player by showing him his authorisation as a FIFA Doping Control Officer and the assignment for the respective control;
- b) ask the Player to produce identification and confirm the Player's identity to ensure that the Player who is to be notified is the same Player who has been selected for Doping Control. The method of identification of the Player or the failure by the Player to confirm his identity shall be documented and reported to the FIFA Anti-Doping Unit. In such case, the FIFA Anti-Doping Unit shall decide whether it is appropriate to report the situation as a failure to comply as set forth under art. 44 (Failure to comply with Doping Control ) of the FIFA Anti-Doping Regulations.

## **4 Procedure for Out-of-Competition no-advance-notice tests on individual Players**

**1.**

FIFA conducts no-advance-notice doping tests based on the individual whereabouts of Players in the IRTF. In accordance with the test distribution plan, the FIFA Anti-Doping Unit selects individual Players for Testing via random or target methods. The FIFA Anti-Doping Unit then identifies the date(s) of the respective test(s) and seeks to establish the location of the selected Player by referring to the whereabouts information provided for the Player, plans the approach and timing of notification accordingly and assigns a FIFA Doping Control Officer available on the respective date(s) at the respective locations to conduct the no-advance-notice test, and informs him of the whereabouts of the Player on the respective date(s).

**2.**

For no-advance-notice Out-of-Competition Sample collection, reasonable attempts should be made to notify Players of their selection for Sample collection. The FIFA Doping Control Officer shall record all notification attempts that were made by him during such period.

**3.**

When the Player is a Minor, or in situations where an interpreter is required and available, the FIFA Doping Control Officer shall consider whether a third party must be notified prior to notification of the Player.

**4.**

The identification procedure set forth under art. 3 par. 6 of this annexe shall be followed. The FIFA Doping Control Officer shall also inform the Player of his rights, including his right:

- a) to have a representative and, if available, an interpreter;
- b) to ask for additional information about the Sample collection process;
- c) to request a delay in reporting to the doping control room for valid reasons (as set forth herein under art. 5 of this annexe); and
- d) to request modifications because of disabilities.

In addition, the FIFA Doping Control Officer shall also inform the Player of his responsibilities, including the requirement:

- a) to report for a test within one hour unless there are valid reasons for a delay;
- b) to remain within direct observation, as set forth under art. 5 of this annexe; and
- c) to remain within the direct observation of the FIFA Doping Control Officer until completion of the Sample collection process.

**5.**

If the Player cannot be contacted by the FIFA Doping Control Officer after reasonable attempts have been made using the whereabouts information provided by the Player, the matter shall be reported to the FIFA Anti-Doping Unit as soon as possible, as set forth in Annexe C (art. 8 par. 1) (Results management in respect of a missed test). The FIFA Anti-Doping Unit shall then proceed to evaluate whether there has been a whereabouts failure in accordance with Annexe C.

## 5 Reporting time

### 1.

From the time of notification until the Player leaves the doping control room at the end of his Sample collection session, he shall be kept under observation at all times.

### 2.

In general, the following applies: for In-Competition controls, each Association and/or team concerned shall ensure that Players selected to undergo a doping test follow the Chaperone to the doping control room straight from the pitch as soon as the Match is over. For no-advance-notice tests on Players in the IRTF, once the Player has been notified, he must report to the room assigned for Doping Control within one hour.

### 3.

The FIFA Doping Control Officer may at his discretion consider any reasonable requirement or any request by the Player for permission to delay reporting to the doping control room, and may grant such permission if the Player can be continuously kept under direct observation during the delay and if the request relates to the following activities:

For In-Competition Testing:

- a) participation in a victory ceremony;
- b) fulfilment of media commitments (e.g. flash interviews, but not press conferences);
- c) obtaining necessary medical treatment;
- d) any other exceptional circumstances that may be justified, and which shall be documented.

For Out-of-Competition Testing:

- a) completing a training session;
- b) receiving necessary medical treatment;
- c) obtaining photo identification;



d) any other exceptional circumstances that can be justified, and which shall be documented.

**4.**

The FIFA Doping Control Officer shall document any reasons for delay in reporting to the doping control room only if those require further investigation by FIFA. Any failure of the Player to remain under constant observation shall also be recorded on the DCF.

**5.**

The FIFA Doping Control Officer shall reject a request for delay from a Player if it is not possible for the Player to be continuously chaperoned.

**6.**

If, while keeping the Player under observation, the FIFA Doping Control Officer observes any matter with potential to compromise the test, he shall report and document the circumstances. If deemed appropriate by the FIFA Doping Control Officer, he shall follow the requirements of art. 44 (Failure to comply with Doping Control) of the FIFA Anti-Doping Regulations, and/or consider if it is appropriate to collect an additional Sample from the Player.

## **6** Doping control room

**1.**

The doping control room shall ensure the Player's privacy and for In-Competition Testing shall always, and for no-advance-notice Testing, where possible, be used solely as a doping control room for the duration of Sample collection. The FIFA Doping Control Officer shall record any significant deviations from these criteria.

**2.**

In the case of In-Competition Doping Controls, only the following people are allowed into the doping control room:

- a) the Players who have been selected for Testing;
- b) an official representative from the two participating teams, preferably the team doctor;
- c) the FIFA Doping Control Officer;

- d) the accredited assistant(s) of the FIFA Doping Control Officer;
- e) a local official, if requested;
- f) the FIFA Match Commissioner, if requested;
- g) the FIFA General Coordinator, if requested;
- h) an interpreter approved by FIFA, if requested;
- i) an independent observer who must be a doctor according to FIFA's requirements.

**3.**

In the case of no-advance-notice doping tests during Team Activities, only the following people are allowed into the doping control room:

- a) the Player(s) who has/have been selected for Testing;
- b) the Person accompanying the Player(s), ideally the team doctor;
- c) the FIFA Doping Control Officer;
- d) the accredited assistant(s) of the FIFA Doping Control Officer;
- e) an interpreter approved by FIFA, if requested.

**4.**

In the case of no-advance-notice doping tests on individual Players, only the following people are allowed into the doping control room:

- a) the Player who has been selected for Testing;
- b) the Person accompanying the Player or witness as determined by the Player;
- c) the FIFA Doping Control Officer.

**5.**

The Players selected for Testing shall remain in the waiting area of the doping control room until they are ready to give Samples. In-Competition, non-alcoholic drinks shall be made available to the Players in the form of unopened

and sealed plastic bottles, some of which are placed in a refrigerator in the doping control room.

## 6.

For In-Competition Testing, the local security bodies shall take the necessary measures to ensure that no Persons other than those authorised under par. 2 of this article enter the doping control room. The entrance to the doping control room shall be constantly guarded. Responsibility for security during Out-of-Competition tests shall be borne by the relevant team delegations. The FIFA Doping Control Officer is entitled to refuse unauthorised Persons access to the doping control room.

## 7.

In exceptional circumstances, the FIFA Doping Control Officer may give approval for a Player to leave the doping control room, provided that he has agreed the following conditions of leave with the Player:

- a) the purpose of the Player leaving the doping control room;
- b) the time of return (or return upon completion of an agreed activity);
- c) that the Player must remain under observation at all times.

The FIFA Doping Control Officer shall document the actual time of the Player's departure and return.

# 7 Conducting the Sample collection session: collection of urine Samples

## 1.

The FIFA Doping Control Officer is responsible for the Sample collection session, especially for ensuring that the Sample is properly collected, identified and sealed. He shall check the Player's identity against the Player's accreditation or other identity card and the appropriate forms. He shall also ensure that the Player has been informed of his rights and responsibilities and the requirements of the Sample collection session.

## 2.

The FIFA Doping Control Officer records information on the In-Competition or Out-of-Competition Sample collection, stating whether it was an advance- or

no-advance-notice Sample collection, the date, the Player's name, the Player's number and team, if applicable, on the DCF.

### 3.

First, the Player is offered a choice of Sample collection equipment that shall comply with the requirements stipulated in the International Standard for Testing. The Player himself shall pick:

- a) a sealed and sterilised beaker; and
- b) a box containing two sealed and numbered bottles, one marked Sample "A" and the other Sample "B".

The FIFA Doping Control Officer and the Player shall check that all code numbers match and that this code number is recorded accurately by the FIFA Doping Control Officer on the DCF. If the numbers do not match, the Player shall choose another box and the FIFA Doping Control Officer shall record the matter on the DCF.

### 4.

The FIFA Doping Control Officer shall instruct the Player to check that all seals on the selected equipment are intact and the equipment has not been tampered with. If the Player is not satisfied with the selected equipment, he may select another. If the Player is not satisfied with any of the equipment available for selection, this shall be recorded by the FIFA Doping Control Officer.

- a) If the FIFA Doping Control Officer does not agree with the Player that all of the equipment available for the selection is unsatisfactory, he shall instruct the Player to proceed with the Sample collection session.
- b) If the FIFA Doping Control Officer agrees with the Player that all of the equipment available for the selection is unsatisfactory, he shall terminate the collection of the Player's urine Sample and this shall be recorded by the FIFA Doping Control Officer.

### 5.

The Player shall retain control of the collection equipment and any Sample provided until the Sample is sealed. Additional assistance may be provided to any Player by the FIFA Doping Control Officer as authorised by the Player.

**6.**

The FIFA Doping Control Officer or his assistant shall proceed to an area of privacy to collect the Sample. The Player shall then urinate into the beaker under the direct supervision of the FIFA Doping Control Officer or his assistant, who shall be of the same gender as the Player. The FIFA Doping Control Officer or his assistant shall ensure an unobstructed view of the Sample leaving the Player's body.

The total urine volume in bottles "A" and "B" shall be at least 90ml. The FIFA Doping Control Officer shall verify, in full view of the Player, that the suitable volume of urine for analysis has been provided and record the urine volume. Where the volume of urine is insufficient, the FIFA Doping Control Officer shall inform the Player that a further Sample shall be collected and conduct the procedure as prescribed under par. 13 of this article. The decision shall rest with the FIFA Doping Control Officer. The time of partial and full Sample provision is recorded on the DCF.

**7.**

The Player shall decide whether he or the FIFA Doping Control Officer shall pour the urine into bottles. If the Player decides to do it himself, the FIFA Doping Control Officer shall explain the procedure to him. Bottle "B" shall be filled to a minimum of 30ml, and the remainder of the urine poured into bottle "A" to a minimum of 60ml. Should there still be urine remaining, the FIFA Doping Control Officer shall ensure that the Player fills first bottle "A" and then bottle "B" to capacity as per the recommendation of the equipment manufacturer. The FIFA Doping Control Officer shall instruct the Player to ensure that a small amount of urine is left in the collection beaker to test that the residual urine is in accordance with par. 9 of this article.

**8.**

After the urine Sample has been poured into bottles "A" and "B", the Player shall decide whether he or the FIFA Doping Control Officer shall seal them. The Player and the FIFA Doping Control Officer shall ensure that the bottles have been properly sealed and compare the code numbers on both bottles, the bottle caps and the particulars on the DCF once again.

**9.**

The FIFA Doping Control Officer shall ascertain the specific weight, using the remaining residual urine in the beaker, and record the result on the DCF. Afterwards, the Player may require that any residual urine that will not be sent for analysis is discarded in full view of the Player. If the Sample does not have a Suitable Specific Gravity for Analysis, the FIFA Doping Control Officer shall

inform the Player that he is required to provide a further Sample and conduct the procedure as set forth under par. 14 of this article.

**10.**

The DCF shall then be signed by the Player, the Person accompanying him, if applicable, and the FIFA Doping Control Officer.

**11.**

The FIFA Doping Control Officer shall then complete the DCF by providing the following information: FIFA Competition (if applicable, otherwise select "Out-of-Competition"), Match, Match number (if applicable), venue, date, code number of the "A" and "B" Samples and specific weight of the urine Samples, and sign the form.

**12.**

The "A" and "B" Samples of every tested Player shall be taken with the copy of the DCF to the laboratory by the FIFA Doping Control Officer himself or dispatched by courier. In the case of the latter, the courier shall give the FIFA Doping Control Officer a copy of a Chain of Custody form, signed by both, certifying that the doping Sample shall be properly transported in the appropriate containers and duly delivered.

**Procedure if the stipulated urine volume of 90ml is not obtained**

**13.**

The Player shall select a box as set forth under par. 3 of this article. He shall open bottle "A" only and select an interim sealing set (interim sealing device and numbered security tape). The Player or the FIFA Doping Control Officer (as set forth under par. 7 of this article) shall pour the urine into bottle "A" and seal it, using the interim sealing device before replacing the cap on the bottle. Next, he shall place bottle "A" back in the box, which also contains bottle "B", and seal it with the security tape, the number of which is registered on the DCF.

The FIFA Doping Control Officer and the Player shall check that the code number and the volume and identity of the insufficient Sample are recorded accurately on the DCF. The sealed box shall remain under the control of either the FIFA Doping Control Officer or the Player.

The Player shall then return to the waiting room. As soon as the Player is able to give a further urine Sample, he shall select a new, sealed and sterilised beaker, and the procedure for collection shall be repeated as set forth under this article.

After checking the seal of the interim sealing device, the FIFA Doping Control Officer or the Player (as set forth under par. 7 of this article) shall then pour the urine from bottle "A" into the beaker containing the freshly provided urine. Any irregularity with the integrity of the seal shall be recorded by the FIFA Doping Control Officer and investigated according to art. 44 (Failure to comply with Doping Control) of the FIFA Anti-Doping Regulations.

If the urine volume is still below 90ml, the process shall be repeated. Once the urine volume of 90ml has been obtained, the procedure shall be continued as set forth under paras 8 to 12 of this article.

#### **Procedure if the urine Sample does not meet the requirement for Suitable Specific Gravity for Analysis**

##### **14.**

When the Player is able to provide an additional Sample, the FIFA Doping Control Officer shall repeat the procedure for collection of the Sample as set forth under paras 1 to 12 of this article.

The FIFA Doping Control Officer shall continue to collect additional Samples until the requirement for Suitable Specific Gravity for Analysis is met, or until the FIFA Doping Control Officer determines that there are exceptional circumstances that mean that for logistical reasons it is impossible to continue with the Sample collection session. Such exceptional circumstances shall be documented accordingly by the FIFA Doping Control Officer. In such circumstances, if appropriate, FIFA may investigate a possible anti-doping rule violation.

The FIFA Doping Control Officer shall record the fact that the Samples collected belong to a single Player and the order in which the Samples were provided. He shall then send all Samples, irrespective of their specific gravity, to the laboratory for analysis. The laboratory shall, in conjunction with FIFA, determine which Samples shall be analysed.

## **8** Conducting the Sample collection session: collection of blood Samples

### **1.**

The FIFA test distribution plan defines from which Players of those who have been selected to undergo doping control blood Samples shall be taken.

### **2.**

In-Competition, a part of the doping control room shall be partitioned off to carry out the blood sampling procedure.

### **3.**

The collection of blood Samples from the Players shall, in general, be carried out before the Players provide urine Samples.

### **4.**

The FIFA Doping Control Officer shall explain the blood sampling procedure to the selected Players so that the Players understand the procedure and the need to comply at the beginning.

Prior to the blood Samples being taken, the Players shall be asked if they:

- a) have understood the procedure and purpose of sampling;
- b) have taken medications that may affect the blood-drawing procedure (particularly those that affect clotting), e.g. aspirin, warfarin, non-steroidal anti-inflammatory agents; extra care shall be taken concerning haemostasis for these Players;
- c) have any bleeding disorder that may have an effect on clotting time.

### **5.**

FIFA Doping Control Officers are responsible for:

- a) hygiene and a sterile technique;
- b) handling of blood sampling equipment;
- c) handling of blood Samples, e.g. mixing with anti-coagulants;
- d) ensuring that each Sample is properly collected, identified, sealed, stored and dispatched;



e) answering related questions during the provision of the Sample and after-care of the Players.

**6.**

With reference to art. 7 paras 3 to 5 of this annexe, Players shall be given a choice of blood Sample equipment containing two boxes with the same code numbers.

**7.**

The FIFA Doping Control Officer or the assistant shall wear disposable gloves during the procedure and only they and the Players are allowed to handle the Samples.

**8.**

The FIFA Doping Control Officer shall clean the skin with a sterile disinfectant wipe or swab and, if required, apply a tourniquet. Blood sampling shall be carried out by applying a proficient (*lege artis*) intravenous injection that excludes any health risk, notwithstanding the risk of local haematomas.

**9.**

The volume of blood removed shall be adequate to satisfy the relevant analytical requirements for the Sample analysis to be performed and shall be drawn from a Player's vein, preferably from a superficial vein at the inner part of the lower arm, whilst the Player is sitting on a chair and resting his arm on a suitable support. The FIFA Doping Control Officer shall apply a dressing to the puncture site(s).

**10.**

If the volume of blood that can be removed from the Player at the first attempt is insufficient, the FIFA Doping Control Officer shall repeat the procedure. A maximum of three attempts shall be made. Should all attempts fail, the FIFA Doping Control Officer shall terminate the collection of the blood Sample and record this and the reasons for terminating the collection on the DCF.

**11.**

If a Player's vein collapses after a small volume of blood has been collected, the procedure shall be repeated on the other arm to obtain a sufficient volume of blood.

**12.**

If the Sample requires further on-site processing, such as centrifugation or separation of serum, the Player shall remain to observe the Sample until its final sealing in a secure, tamper-evident kit.

**13.**

The Player shall decide whether he or the FIFA Doping Control Officer shall seal the box once the FIFA Doping Control Officer or his assistant has completed the procedure for taking blood. In full view of the Player, the FIFA Doping Control Officer shall check that the sealing is satisfactory. The FIFA Doping Control Officer shall then place the coded, sealed box containing the Player's blood Sample into the transport cooling bag.

**14.**

The FIFA Doping Control Officer shall dispose of used blood sampling equipment not required for completing the Sample collection session in accordance with the required local standards for handling blood.

**15.**

The sealed Sample shall be stored in a manner that protects its integrity, identity and security prior to transport from the doping control room to the laboratory.

## 9

### Requirements for Sample collection

**1.**

Any behaviour by the Player and/or Persons associated with the Player or anomalies with potential to compromise the Sample collection shall be recorded by the FIFA Doping Control Officer on the DCF. If appropriate, the FIFA Anti-Doping Unit shall investigate a possible failure to comply as set forth under art. 44 (Failure to comply with Doping Control) of the FIFA Anti-Doping Regulations.

**2.**

The FIFA Doping Control Officer shall provide the Player with the opportunity to document any concerns he may have about how the Sample collection session was conducted.

**3.**

In conducting the Sample collection session, the following information shall be recorded as a minimum:

- a) the date, time and type of summons to Doping Control (no-advance-notice, advance-notice, In-Competition or Out-of-Competition);

- b) the Competition/location, date and time of Sample provision;
- c) the name of the Player and the Player's number;
- d) the name of the Player's team;
- e) the name of the Player's doctor and/or Person accompanying the Player (during Team Activities);
- f) the Sample code number;
- g) the required laboratory information on the Sample;
- h) the medications and supplements taken and recent blood transfusion details (if applicable) as declared by the team doctor/Player;
- i) any irregularities in procedures;
- j) the Player's comments or concerns regarding the conduct of the Sample collection session, if provided;
- k) the name and signature of the Player's doctor and/or Person accompanying the Player (if applicable);
- l) the name and signature of the Player;
- m) the name and signature of the FIFA Doping Control Officer.

#### **4.**

At the conclusion of the Sample collection session, the Player and FIFA Doping Control Officer shall sign appropriate documentation to indicate their satisfaction that the documentation accurately reflects the details of the Player's Sample collection session, including any concerns recorded by the Player. During Team Activities, the Player's doctor and/or Person accompanying the Player shall sign the documentation as a witness of the proceedings. In individual Testing, the Person accompanying the Player or witness, if applicable, shall sign the documentation.

#### **5.**

The FIFA Doping Control Officer shall provide the Player with a copy of the DCF of the Sample collection session that has been signed by the Player.

## 10 Post-test administration

1.

The FIFA Anti-Doping Unit shall define criteria ensuring that any Sample will be stored in a manner that protects its integrity, identity and security prior to transport from the doping control room to the laboratory. The FIFA Doping Control Officer shall ensure that any Sample is stored in accordance with these criteria.

2.

The FIFA Anti-Doping Unit shall develop a system to ensure that the documentation for each Sample is completed and securely handled.

3.

The FIFA Anti-Doping Unit shall ensure that instructions for the type of analysis to be conducted are laid down in the agreement with the laboratory chosen in accordance with chapter IX, section 2 (Analysis of Samples) of the FIFA Anti-Doping Regulations.

## 11 Transport of Samples and documentation

1.

The FIFA Anti-Doping Unit shall authorise a transport system that ensures Samples and documentation will be transported in a manner that protects their integrity, identity and security.

2.

Samples shall always be transported to the laboratory chosen in accordance with chapter IX, section 2 (Analysis of Samples) of the FIFA Anti-Doping Regulations, using FIFA's authorised Sample transport method, as soon as practicable after the completion of the Sample collection session. Samples shall be transported in a manner that minimises the potential for Sample degradation due to factors such as time delays and extreme temperature variations.

3.

Documentation identifying the Player shall not be included with the Samples or documentation sent to the laboratory chosen in accordance with chapter IX, section 2 (Analysis of Samples) of the FIFA Anti-Doping Regulations.

**4.**

The FIFA Doping Control Officer shall send all relevant Sample collection session documentation to the FIFA Anti-Doping Unit using FIFA's authorised courier service as soon as practicable after the completion of the Sample collection session.

**5.**

The Chain of Custody shall be checked by the FIFA Anti-Doping Unit if receipt of either of the Samples with accompanying documentation or Sample collection session documentation is not confirmed at its intended destination or if a Sample's integrity or identity may have been compromised during transport. In this instance, the FIFA Anti-Doping Unit shall consider whether the Sample should be voided.

**6.**

Documentation relating to a Sample collection session and/or an anti-doping rule violation shall be stored by FIFA for a minimum of ten years as per chapter VIII of the FIFA Anti-Doping Regulations.

# Doping Control Form (DCF)



## DOPING CONTROL FORM

Test authorised by: FIFA - Sample collection agency: FIFA - Results management authority: FIFA

### FIFA COMPETITION:

#### 1. PLAYER INFORMATION

Player's name:  Player no.:  Date of birth:   
 Match/venue:  Team:

#### 2. NOTIFICATION OF SELECTED PLAYER

Urine  Blood  Date:  Time of notification:  :  Time of arrival in doping control room:  :

Team representative's/player representative's name:  Team representative's/player representative's signature:

Doping Control Officer's name:  Doping Control Officer's signature:

Player's signature:

The above-named player has been selected to undergo a doping test and is requested to report immediately after the match to the doping control room. He may be accompanied by one representative (doctor, coach or team official). It is the duty of the representative to inform the selected player accordingly. I hereby acknowledge that I have received and read this notice, including the player's rights and responsibilities listed on the reverse side of copy 1, and I consent to provide sample(s) as requested. I understand that failure or refusal to provide a sample may constitute an anti-doping rule violation.

#### 3a. INFORMATION FOR ANALYSIS

In-competition  Out-of-competition  Male  Female

Blood serum A/B:  Date:  Time:  :

Whole blood A/B:  Date:  Time:  :

Urine A/B:  ml S/G  Date:  Time:  :

Partial urine sample: No.:  ml Player's initials:  No.:  ml Player's initials:

Additional sample A/B:  ml S/G  Date:  Time:  :

#### 3b. DECLARATION OF MEDICATION

List all medications or supplements taken during the past 7 days and any blood transfusions received during the past three months

Diagnosis	Substance	Dosage	Method of application	Start and duration of treatment
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Consent for research: In order to help combat doping in sport, by signing below, I agree that my sample may be used for anti-doping research purposes. When all analyses have been completed and my sample would otherwise be discarded, it may then be used by any WADA-accredited laboratory for anti-doping research of any type, provided it can no longer be identified as my sample. I accept  I refuse

#### 4. CONFIRMATION OF PROCEDURE FOR URINE AND/OR BLOOD TESTING

Remarks:

Blood Collection Officer's name:  Blood Collection Officer's signature:

Doping Control Officer's name:  Doping Control Officer's signature:

Team representative's/player representative's name:  Team representative's/player representative's signature:

Player's signature:

I declare that the information I have given on this document is correct. I declare that the sample collection was conducted in accordance with the relevant procedures for sample collection. Furthermore, I accept that all information related to the doping control, including but not limited to laboratory results and possible sanctions, shall be shared with the relevant bodies (for instance WADA, international, continental or national sports federations) in accordance with the relevant regulators, including the FIFA Anti-Doping Regulations. I have read and understood the text overleaf and I consent to the processing of my doping control-related data through ADAMS or any other reliable means or measures.

Place:

Time:  :  Date:

Original: FIFA Anti-Doping Unit (white) Copy 1: Summons form (pink) Copy 2: FIFA General Coordinator (green) Copy 3: Player's copy (pink) Copy 4: Laboratory (blue)

03/15 modification

## Doping Control Form – Supplementary

**DOPING CONTROL  
SUPPLEMENTARY FORM****FIFA®**

Test authorised by: FIFA - Sample collection agency: FIFA - Results management authority: FIFA

**FIFA COMPETITION:** \_\_\_\_\_Date:       Team: Venue: Player name: Team/player representative name: Doping Control Officer (DCO) name: 

Remarks:

DCO signature: Team/player representative signature: Player signature: 

Original: FIFA Anti-Doping Unit (white) Copy 1: Player's copy (pink)

03.15 ALP 500 ID/Version/Edon

Fédération Internationale de Football Association  
 FIFA-Strasse 20 P.O. Box CH-8044 Zurich Switzerland Tel.: +41-(0)43-222 7777 Fax: +41-(0)43-222 7878 www.FIFA.com

Annexe E may be amended by the FIFA administration during the sporting season.

Reference is made to the list of World Anti-Doping Agency (WADA)-accredited laboratories published by WADA, which is available on [www.wada-ama.org](http://www.wada-ama.org).





